

***PROMOTING RESEARCH, TRAINING &  
EVALUATION IN ALL COMMUNITIES***



**B E H A V I O R A L  
A S S E S S M E N T  
I N C .**

**C A P A B I L I T Y   S T A T E M E N T**

291 S. LA CIENEGA BLVD., SUITE 304  
Beverly Hills, CA 90211-3310  
Tel: 310-652-6449  
Fax: 310-652-5462  
Web site: <http://www.bai-eval.com>

## ***TABLE OF CONTENTS***

| SECTION   | PAGE |
|---|------|
| Background.....   | 2    |
| Organizational Chart.....                               | 3    |
| Services Offered .....                                  | 4    |
| Project Descriptions .....                              | 5    |
| Research .....  | 5    |
| Program Evaluation .....                                | 9    |
| HIV/AIDS .....  | 9    |
| Mental Health.....                                      | 12   |
| Substance Abuse .....                                   | 14   |
| Tobacco Prevention .....                                | 21   |
| Training & Technical Assistance .....                   | 22   |
| Familia Adelante Training.....                          | 22   |
| Transition to Practice Program Training Evaluation..... | 23   |
| Mentoring .....   | 24   |
| Assessment & Diagnostic.....                            | 25   |
| Technical Assistance .....                              | 26   |
| Policy and Other .....                                  | 28   |
| Familia Adelante Program Overview.....                  | 29   |
| BAI Staff & Consultants.....                            | 30   |



## **COMPANY BACKGROUND**

Behavioral Assessment, Inc. (BAI) was established in 1987 and incorporated in 1993. The corporation offers research, training, and consultation services, as well as professional program evaluation services. BAI has a long history of providing culturally sensitive and community-based services that address the needs of emerging ethnic and cultural groups, and longer-term resident communities. BAI staff and consultants have over 30 years experience in the community and hold academic positions at major research and teaching universities. Staff and consultants are bilingual, bicultural, and represent Latino, Asian and Pacific Islander, and African American communities. BAI has provided services to international, federal, state, and local governments, for profit and non-profit 501c (3) organizations. BAI clients have included the U.S. Department of Health and Human Services, the states of Texas, Arizona, California, and New Mexico, the Counties of Los Angeles, San Diego, San Francisco, and Ventura to name a few. BAI has partnered with numerous non-profit organizations and has experience in working within the U.S. Mexico border county regions. BAI conducts NIH supported research on a number of health disparity and social determinant topics.

## **OUR MISSION**

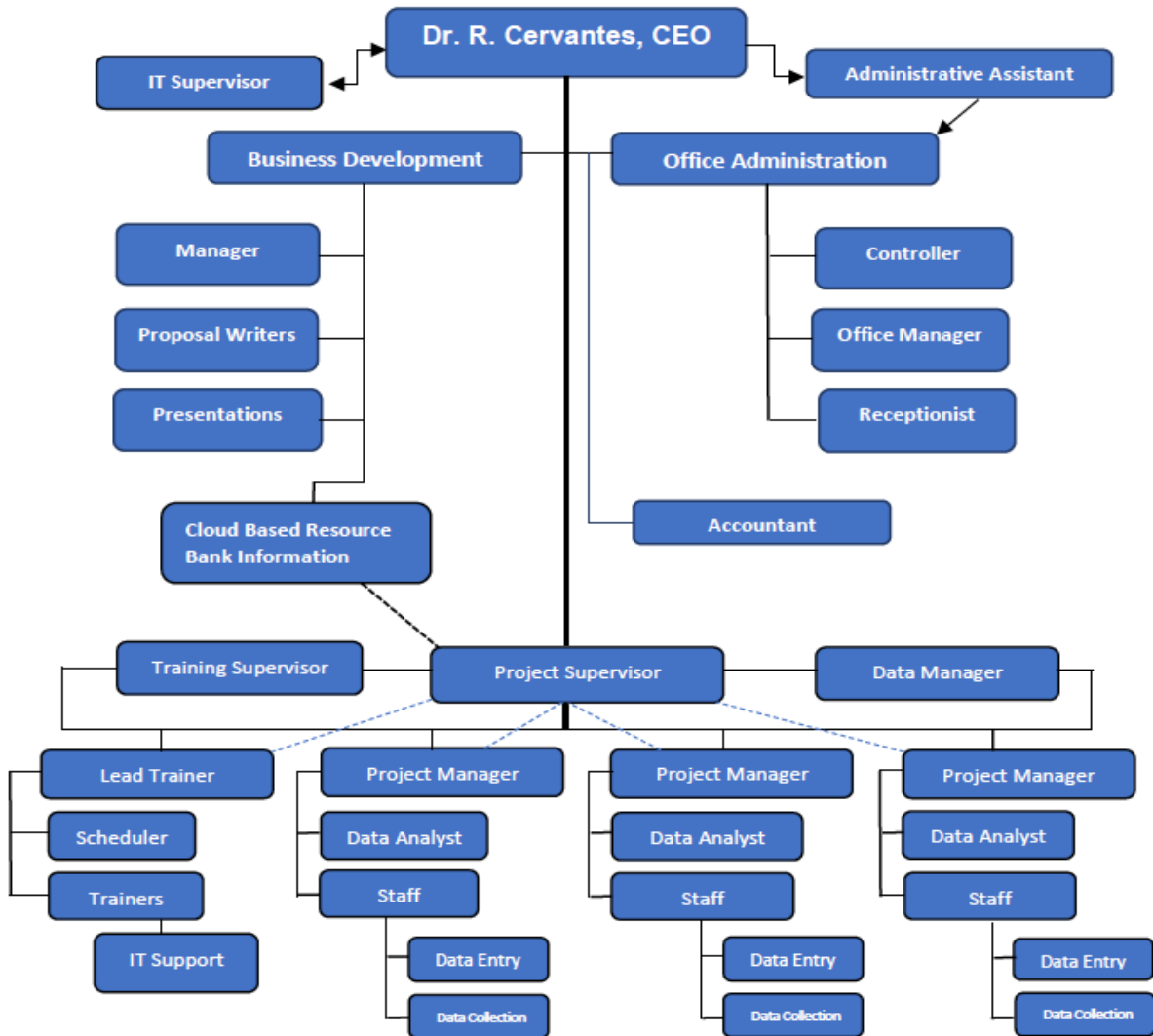
**To promote and conduct ethical research, training, and evaluation in multicultural communities and to disseminate research findings for the purpose of developing healthy individuals, families, and communities.**

---

NAICS CODE: 541720  
SIN Category 874-1: Consulting Services  
SIN 874-3: Survey Services



## Organizational Chart



## **SERVICES OFFERED**

BAI is a knowledge management and advisory firm offering its clients a combination of value-added and cost-saving services. The company is committed to providing first-rate service to organizations by providing a team of talented, committed, and professional staff and consultants with advanced experience and education. Services offered by the company are represented by, but are not limited to, the following areas:

- **EVALUATION**
- **TRAINING & TECHNICAL ASSISTANCE**
- **RESEARCH**
- **DATA ANALYSIS**
- **SURVEY DEVELOPMENT**
- **SAMPLING**
- **TOBACCO PREVENTION**
- **ORGANIZATIONAL CAPACITY BUILDING**
- **VIOLENCE PREVENTION**
- **LANGUAGE / TRANSLATIONS**
- **WORKFORCE DEVELOPMENT**
- **BEHAVIORAL HEALTH**
- **SUBSTANCE MISUSE**
- **HIV/AIDS PREVENTION**
- **IMMIGRATION & BORDER HEALTH**
- **COURTS- EXPERT WITNESS**
- **POLICY ANALYSIS**
- **FOCUS GROUPS / RAPID ANALYSIS**
- **PREVENTION & STRESS REDUCTION PROGRAM FOR HISPANIC YOUTH**
- **TRANSLATION- ENGLISH / SPANISH LANGUAGE**



**BEHAVIORAL**

**ASSESSMENT**

**INC.**

# PROJECT DESCRIPTIONS

## RESEARCH PROJECTS

National Institute on Minority Health and Health Disparities (NIMHD)

### ***SALUD, ESTRÉS Y RESILIENCIA/HEALTH, STRESS AND RESILIENCE IN HISPANIC FAMILIES (SER FAMILIA)***

This research study aims to prevent syndemic health conditions by decreasing acculturative stress and promoting resilience via SER Familia (*Salud, Estrés y Resiliencia en Familias/ Health, Stress, and Resilience in Families*), a family-based intervention informed by our observational (SER Hispano; R01MD012249) and pilot studies. SER *Familia* is a six-session intervention co-developed and delivered by community health workers (CHWs) that uses strategies to reduce acculturative stress, promote resilience, improve parent-child and family level health, while simultaneously helping families maintain strong social networks and better navigate community resources to address social determinants of health (SDOH). More specifically we aim to 1) Examine the efficacy of SER Familia to prevent or reduce the syndemic comprised of substance abuse, IPV, HIV risk, depression, and anxiety among Parents and Youth; and 2) Identify how individual, family, and community mechanisms of change related to acculturative stress and resilience mediates the effect of SER Familia. We will carry out a community-engaged, mixed-methods, randomized controlled trial with 380 Latino immigrant families to determine the impact of SER Familia compared to a delayed intervention condition. Data from surveys using culturally specific measures of acculturative stress, resilience, and syndemic outcomes collected at baseline, immediately after the intervention, and 6- and 12-month follow-up periods from Parent and Youth dyads will be integrated with interviews with a sub-set of dyads randomized to the SER Familia (n=20) to generate a comprehensive understanding of the impact and mechanisms of the intervention. Our long-term goal is to develop scalable interventions that prevent syndemics and employ multi-level approaches to address acculturative stress and resilience among Latino immigrants in the US. The findings from this study will help identify strategies to promote health for Latino families, the largest minoritized group in the US.

2023 – 2028.

National Institute on Minority Health and Health Disparities (NIMHD)

### ***SALUD, ESTRÉS Y RESILIENCIA/HEALTH, STRESS AND RESILIENCE HISPANO PROJECT (SER HISPANO)***

This research study was funded by the National Institute on Minority Health and Health Disparities (R01MD012249, PI: Gonzalez-Guarda and Co-Investigator, R. Cervantes, studied the effects of acculturation stress and resilience on the health and wellbeing of Latino immigrants in the research triangle area. Hispanic/Latino immigrants to the U.S. are healthier than the general population upon arrival, but their health significantly declines over time (Antecol & Bedard, 2006; Cho et al., 2006; Lee et al., 2013). This decline in health appears to be influenced by acculturation related processes, but little is known about the influence of the stress associated with this phenomenon - acculturation stress. Little is known how individual, family, and community resilience among Latinos(x) buffers against this stress and influences both psychological and physical health. The major goals of the SER Hispano project are to establish the temporal relationship between stress, biomarkers of stress (i.e., systemic inflammation and oxidative stress), behavioral disruption (i.e., “unhealthy diet”, substance use, sleep disruption), and psychopathology (i.e., depression symptoms) in a subset of participants that evidence high rates of acculturative stress (Hispanics).2018-2022.

NATIONAL INSTITUTES OF HEALTH (NIH)

### ***THE HISPANIC FAMILY ASSESSMENT INVENTORY (HFAI)***

The aim of this SBIR Phase I study is to begin the development of the Hispanic Family Assessment Inventory (HFAI). Recent NIH supported research of the PI (Cervantes) has been successful in the development of new instruments to measure culturally based stress in adults and adolescents (i.e., Hispanic Stress Inventory-Adolescent Version; Cervantes et. al., 2011), however, research on the development of

culturally relevant family-based instruments that take the acculturative process, family dynamics and outcome measures related to stress and resiliency in Hispanic families remain an area of much needed research (National Research Council, 2006). This study will begin to fill this gap in knowledge by validating important domains of family resilience and strength that can then serve as an aide in the diagnostic and treatment planning process to improve family behavioral outcomes. This study included (n=60) Hispanic families. Data was collected in Los Angeles and Miami. A qualitative interview protocol was used to identify areas of culturally relevant family resilience and family functioning factors. Responses from the qualitative interviews will serve as the basis for developing items for the HFAI. These items will then be tested and standardized in a Phase II study. The HFAI will be widely distributed and marketed to health, mental health, school counselors and researchers. 2016-2017.

NATIONAL INSTITUTE OF MENTAL HEALTH (NIMH)

#### ***STANDARDIZATION OF THE HISPANIC STRESS INVENTORY: VERSION 2 (HSI2)***

This Phase II study utilized a multi-trait/multi-method research design. Our research design included data collection in 4 research sites that represent the diversity of the national Hispanic adult population: Los Angeles, Miami, El Paso, and Boston. The total research sample consisted of (N= 2,400) Hispanics, ages 18 and older. A cross sectional, quota-based sampling design was used in 3 distinct target samples, including a) college aged adults, b) parents, and c) seniors over 60. This research responds to the growth in the Hispanic population along with a large market of mental health clinicians, primary health care providers and researchers as well as educators, counselors and criminal justice professionals who are demanding culturally relevant assessment tools for the screening and early diagnosing of stress in the Hispanic population. Recent trends in health reform and emphasis on prevention of health and behavioral health problems will necessitate the use of better screening and diagnostic tools, particularly for minority groups who previously have had low access to such preventive services. High stress levels put Hispanic adults at-risk for a wide range of behavioral health problems. Our research has improved the technology of stress assessment in this vulnerable population in several ways. The Hispanic Stress Inventory (Version 2) has provided evidence that convinced the mental health and other health care professional customers that the product is scientifically proven and culturally relevant. *BAI's research brings an important, and under researched perspective to the development of assessment technologies that has stimulated the development of similar screening inventories in other populations characterized by high immigration and high health disparities. Apr 1, 2011 – June 30, 2013.*

NATIONAL INSTITUTE OF DRUG ABUSE (NIDA)

#### ***INTEGRATING HIV & SUBSTANCE ABUSE PREVENTION FOR HISPANIC FAMILIES: LA FAMILIA ADELANTE (SBIR PHASE 1)***

The long-term objective of this BAI SBIR Phase I research is to revise the Familia Adelante (FA) early drug intervention and prevention program (PI; R. Cervantes) to incorporate an HIV prevention component, so as to make Familia Adelante an effective and commercially available model program. Through qualitative data collection with an expert panel and members of the target population of Hispanic families (n=144), this Phase I SBIR study will revise the FA program to include an HIV prevention component, as well as determine the feasibility of designing a Phase II SBIR study which will test the Familia Adelante-Revised (FA-R) in a multisite randomized clinical trial sample of Hispanic families. *Upon successful completion of the Phase II SBIR study, BAI aims at marketing the FA-R for commercial purposes to health and mental health care providers, school mental health personnel and researchers who work with Hispanic families. 2010-2011.*

NATIONAL INSTITUTE OF MENTAL HEALTH (NIMH)

#### ***HISPANIC STRESS INVENTORY, VERSION I (HSI) SBIR PHASE I***

This BAI Phase I study aimed to establish the basis for re-standardizing the Hispanic Stress Inventory (HSI) (PI. R. Cervantes). The HSI original version was developed nearly two decades ago. A new version will have more utility for clinicians and researchers through item update and revision of factor-derived subscales. We proposed implementing a multi-site, multi-method design and utilizing both clinical and non-clinical adult samples (n=250) which included subgroups of Hispanics. The purpose of this Phase I study was to determine the feasibility of re-standardizing the original HSI. BAI proposed to: (a) convene an expert panel of Hispanic

researchers and clinicians to critically review the original HSI and to identify gaps relevant to current contextual and cultural conditions, and (b) to implement focus group methodology to identify salient conceptual psychosocial stress domains for both immigrant and non-immigrant Hispanic adults. *Results from this study will guide the development of a draft version of the HSI-2 to be used in a large national re-standardization study in Phase II.* Oct 2009 – Sept 30. 2010.

NATIONAL INSTITUTE OF MENTAL HEALTH (NIMH)

***HISPANIC STRESS INVENTORY-ADOLESCENT-IMMIGRANT & NON-IMMIGRANT (HSI/I-NI) PHASE II***

This BAI Phase II study built upon the results of the Phase I feasibility study (PI; R. Cervantes). The study further validated norm immigrant and non-immigrant versions of the Hispanic Stress Inventory-Adolescent (H.S.I.-A.). A cross-sectional research design with a nested test-retest component and multisite, multi-measure features were applied. A stratified sample of middle and high school students (N=1600) and clinical sample of adolescents with a diagnosed behavioral health problem were selected (N=400) in 4 research sites representing the diversity of the United States Hispanic population: Los Angeles, Miami, Dallas and Boston. The 160 items developed in Phase I study was self-administered to the adolescents along with the criterion measures and analysis determined the standardized version of the HSI-A. The six objectives of this study of Hispanic early (13-15 years) and late adolescents (15-17 years) were: 1) To determine, through a series of exploratory factor analyses, the global construct validity and factor structures of the HSI-A immigrant and nonimmigrant versions; 2) Estimate the construct validity of the final HSI-A by analyzing the similarities and differences in scale and subscale scores between the student sample and the clinically defined contrast group; 3) Assess the HSI-A for criterion-related validity using concurrent measures; 4) Assess the reliability of the HSI-A through the use of internal consistency, split-half and test-retest procedures; 5) Using survey data from a national sample of Hispanic adolescents, generate national normative data and actuarial profiles of the HSI-A; 6) Assess the reliability of the HSI-A that includes web-based administration and scoring features as an integral part of the commercialization plan. *The HSI-A is currently being marketed through BAI, providing a screening tool for early detection and the prevention of the onset of mental health disorders by mental health professionals.* Oct 2007 – Sept 2009.

NATIONAL INSTITUTE OF MENTAL HEALTH (NIMH)

***NIMH- HISPANIC STRESS INVENTORY-ADOLESCENT (HSI-A.) SBIR PHASE I***

The long-term objective of this research program was to develop an innovative stress assessment tool for Hispanic adolescents (PI; R. Cervantes). This SBIR Phase I study determined the feasibility of a Phase II study that further validated a commercial adolescent version of the Hispanic Stress Inventory (HSI-A). The target participants of this program were Hispanic adolescents. The program specifically aimed to: 1) identify the salient conceptual psychosocial stress domains; 2) specify discrete domain-specific stressor events and their appraisal for clinical and non-clinical samples that include immigrant, language and ethnic origin subgroups; 3) generate a pool of closed-ended stressor and appraisal items formatted in a Likert style to be included in the HSI-A draft tool; 4) evaluate the content validity of the HSI-A for each adolescent conceptual stress domain. A cross-sectional, multi-stage research design, with multi-site, multi-method and multi-measure features were applied. Stage 1 telephone interviewed an expert panel in order to identify the conceptual and relevant psychosocial stress domains. Stage 2 used focus group interview methods. A stratified sample of middle school and high school students and clinical clients were selected (N=250) to participate in focus groups (N=30) from the research sites of Trenton, New Jersey and San Fernando, California. Stage 3 conducted qualitative analysis of the telephone data to generate a logically interconnected pool of items. In Stage 4 the content validity was evaluated using Cohen Kappa statistic of inter-rater agreement and an item analysis. The feasibility of the Phase II study was determined by multiple criteria that included: obtaining targeted number of participants; adequate representation from the sub-groups of ethnic populations and consensus among expert panel on HSI item content as evidenced by a Cohen Kappa of 0.70 or higher. Ultimately, the HSI-A will be advertised and supplied to the mental health care field where it will have relevance as an early warning mental health-screening tool to improve diagnostic formulation. *Oct 2004 – 2006.*



# PROGRAM EVALUATION

## HIV / AIDS

### ***THE SOUTHWEST CENTER-RECOVERY-ORIENTED CULTURALLY HOLISTIC (SWC ROCH) PROJECT***

Funded by SAMHSA, the Southwest Center-Recovery-Oriented Culturally Holistic (SWC ROCH) project, is offering a status-neutral approach to deliver whole-person care to expand HIV services throughout Maricopa County, AZ. BAI will oversee the project evaluation and will provide information on performance indicators and GPRA outcomes such as crime or criminal justice involvement, employment/education, and social connectedness. The purpose of this grant is to increase engagement in care for racial and ethnic medically underserved individuals with substance use disorders (SUDs) and/or co-occurring SUDs and mental health conditions (COD) who are at risk for or living with HIV. 2023-2028.

### ***LA CLINICA DE LA RAZA: YOUTH OPIOID RESPONSE***

La Clinica de la Raza has partnered with BAI for current and past projects that primarily involve youth and young adults under the age of 25. Currently La Clinica de la Raza is providing its community with a Youth Opioid Response (YOR) treatment grant. YOR (2020, CSAT Treatment Project) is a 5 year evaluation study to provide substance abuse and/or mental health treatment for incarcerated and at-risk individuals in Oakland, CA and its surrounding areas. Past 30-day substance use as well as recent mental and/or emotional difficulties are taken into account. BAI is the independent evaluator for this project. 2020-2025.

### ***CENTER FOR HEALTH JUSTICE: SAMHSA KEEPING IT SAFE AND SEXY (KISS)***

The Center for Health Justice, SAMHSA CSAP Keeping it Safe and Sexy (KISS) Prevention Navigator Project is a 5-year peer educational program that aims to improve substance abuse education and prevention practices for incarcerated and at-risk individuals in the Greater Los Angeles area. The program offers sexual health education addressing STIs and HIV, risk reduction strategies, and support services for youth aged 13-24 transitioning out of correctional custody back to their communities. Substance Use classes are offered as well. Program includes ongoing classes, support groups, and individualized counseling mentorship. BAI is the independent evaluator for this project. 2021-2026.

### ***CENTER FOR HEALTH JUSTICE: KEEPING IT REAL (KIR)***

Center for Health Justice (CHJ), expanded its Los Angeles County (LAC)-based Program, *Keeping it Real* (KIR), for socially disenfranchised, African American, and Latino participants (ages 13-34) with a history of incarceration. BAI was contracted to serve as the Evaluator of this project. The majority of KIR's services were delivered inside LAC Jails and at CHJ's Service Center, located directly across the street from the Men's Central Jail (MCJ), the discharge point for all men leaving the custody of the LAC Jail System. LAC is home to one of the world's largest jail systems, as well as the largest probation department. The three LAC Jail facilities KIR provided services to included: MCJ (men), Twin Towers Correctional Facility (men and women), and Century Regional Detention Facility (women). Within the Jails, approximately 180,000 inmates are processed annually, and between 300 and 900 inmates are "booked" each day. 2017-2021.

### ***CENTER FOR HEALTH JUSTICE: SELF DISCOVERY IN MOTION PROGRAM (SDIM)***

The Center for Health Justice (CHJ) implemented the Self-Discovery in Motion Program (SDIM) in Los Angeles County which reached 29-year-old Black and Latinx members of communities who were incarcerated or recently released back into their neighborhoods in the South and Metro regions of LAC. All participants were offered onsite HIV, STI, and HCV rapid testing (and referrals as appropriate), and those experiencing a substance use disorder (SUD) and/or co-occurring SUD and mental disorders were offered enrollment in SDIM's Outpatient SUD and COD Treatment. The term 'Latinx' is being used in this proposal as a gender-neutral alternative to Latino or Latina also inclusive of the intersecting identities of Latin American

descendants. Also, there are trans women who identify as lesbian incarcerated in LAC MCJ, and the term 'community' is being used with the understanding that it not only refers to a group of people living in the same place, but also those having a particular characteristic in common with others as a result of sharing common experiences. BAI was contracted to serve as the Evaluator of this project. 2017–2022.

#### ***SAMHSA/CSAP -ORANGE COUNTY BAR FOUNDATION: YOUTH MAKING PROUD CHOICES (YMPC)***

The Youth Making Proud Choices (YMPC) project offered a variety of substance abuse prevention services coupled with HIV/Viral Hepatitis (VH) prevention services to improve behavioral health and clinical outcomes for Latino youth, male and female, ages 13-17, from Santa Ana, CA at high risk for substance abuse and HIV/VH. The project expanded prevention services for a total of 450 youth (13-17 years old) (Y1: 50, Y2: 100, Y3: 100, Y4: 100, Y5: 100) at risk for substance use or abuse disorders and that were at high risk for or living with HIV/AIDS/VH. The project addressed needs based on age, ethnicity, language, sexual orientation, disability, literacy, and gender in the targeted population. Prevention services that are grounded in evidence-based interventions that have been shown to be effective in reducing substance abuse in the target population were used. BAI was contracted to serve as the Evaluator of this project. 2016-2021.

#### ***SAMHSA SUNRISE COMMUNITY COUNSELING CENTER, INC. PROYECTO NUEVO DIA/ PROJECT NEW DAY***

**Sunrise** Community Counseling Center, Inc. (SCCC) is a community- based substance abuse and mental health service provider organization with more than 36 years of experience serving the residents of Service Planning Area (SPA) 4/Metro area of Los Angeles, California. The goals of *Proyecto Nuevo Día/ Project New Day (PND)* includes: (1) Increase community capacity to provide SA, HIV and VH prevention services; (2) Increase the protective environment of the community to prevent SA and transmission of HIV and VH through education and capacity building to provide these services; (3) Increase knowledge about SA, HIV, and VH; (4) Increase the number of the Latinos, African Americans, and LGBT individuals tested and/or referred for SA or HIV and VH services; (5) Increase HIV and VH testing and counseling by 15% each year, and (6) decrease substance use by at least 10% each year. BAI is contracted to serve as Evaluator. October 2015-September 2020.

#### ***SUNRISE COMMUNITY COUNSELING CENTER, INC. SUBSTANCE ABUSE AND HIV PREVENTION READY-TO-RESPOND***

Sunrise Community Counseling Center, Inc. (SCCC) program entitled *Family-Centered Substance Abuse and HIV Prevention for Latina Women with Children* provided family-based counseling, case management and HIV testing to Latina mothers and their family members, as well as environmental strategies interventions. BAI was contracted for the program evaluation. This program successfully increased the capacity of prevention services at SCCC, expanded access to resources for Latinas and their families, decreased substance abuse and HIV risk behaviors, increased integration of community resources, and positively affected community understanding, and norms associated with issues of substance abuse and HIV risk. The program was family-inclusive and culturally appropriate for the local Hispanic/Latino community in its use of the evidence-based Brief Strategic Family Therapy (BSFT) model. Fourteen weekly BSFT counseling sessions focused on the establishment of healthy communication to promote healthy, adaptive family functioning and support. Integrated psychoeducation and peer support increased knowledge and self-efficacy for healthy decision making and behavior. A Specialized HIV curriculum considered the needs and characteristics of the subpopulation of focus. HIV testing with pre, and post-test counseling was offered to all participants. Those testing positive for HIV received comprehensive treatment services. The integration of substance abuse and HIV prevention in conjunction with mental health and wrap-around support services, and integration of families in the counseling process increased the likelihood of successfully empowering Latina mothers in their community and home lives. *October 2010 – 2015.*

#### ***INTEGRATED BEHAVIORAL HEALTH, INC. SUBSTANCE ABUSE & HIV PREVENTION PROJECT***

Behavioral Assessment, Inc. (BAI) was contracted to evaluate Years two through five of the Integrated Behavioral Health Substance Abuse & HIV Prevention Project. Integrated Behavioral Health, Inc. (IBH, Inc.) implemented the evidenced-based prevention intervention Project SUCCESS for substance abuse prevention and RESPECT for HIV prevention with our priority population youth ages 12-17 years old. Project SUCCESS

core curriculum consisted of eight weeks of group prevention counseling and education led by two master's degree Level Prevention Counselors at 2 middle school sites and 2 high school sites. RESPECT consisted of two individual counseling sessions with program participants with emphasis on HIV and other Sexually transmitted disease prevention. IBH's selected prevention activities fit well with our existing model of collaborating with our community partners. IBH is an active member of the community coalition group in the City of Corona and Norco with a primary focus on substance abuse prevention and education. The community coalition group members included the local school district, law enforcement, Riverside County Department of Mental Health, Local Municipal representative, faith-based organization, parents, and youth. 2010-2013.

#### ***SAMHSA/CSAT-PROJECT SAFE – SAN ANTONIO FAMILY ENHANCEMENT***

Funded by the SAMHSA Center for Substance Abuse Treatment (CSAT), the University of Houston developed Project SAFE to focus on the expansion of outreach, prevention, and treatment capacity for high-risk, HIV/AIDS-STDs, criminal activity, and gang affiliated youth from the ages 12 to 17. The program utilized three community-based organizations (Guadalupe Community Center, YWCA of San Antonio and JOVEN) in Texas to provide drug treatment and social services to high-risk Mexican American youth in three distinct *barrios* in the Hispanic community. Program objectives were to conduct community-based outreach and recruitment, improve school bonding, academic performance, family bonding, family and peer functioning, and to reduce gang identification, gang involvement, and HIV/AIDS-STDs risks. The evaluation of the program's effectiveness consisted of a quasi-experimental study that compared random assigned control and treatment groups. The evaluation of the program was based on comparing information between control and comparison groups collected at baseline, post-test (6 months) and follow-up (12 months). BAI was the external evaluator of this program in addition to supporting Houston University with designing the program, entering and analyzing data for GPRA, and managing the internal database used to evaluate the program's effectiveness. 2002-2006.

#### ***SAMHSA/CSAP -PROJECT YOUTH CONNECT (PYC) – SANTA ANA***

Funded by SAMHSA CSAP through the Orange County Bar Foundation, Project Youth Connect (PYC) was a culturally sensitive mentoring program created for young Hispanic girls and their mothers in the city of Santa Ana, California. The program used a science-based, family mentoring approach to prevent high-risk behaviors, substance abuse, HIV/AIDS, STDs, and unwanted pregnancies in a high-risk population of young Hispanic women (ages 12-17). PYC promoted family and school bonding, education on family preservation topics, as well as teaching life management skills to young women. Individual and group mentoring were the primary strategies to reach these goals. The program also worked to improve family communication and women's negotiation skills with male partners, including strategies for encouraging male condom use. The program served approximately (N=178) youth and their mothers during each 10-month period. *Data analysis performed by BAI researchers show that PYC had proven effective in minimizing risk factors (impulsivity, conduct disorder, etc.) and maximizing protective factors (i.e., bonding with families, HIV knowledge, condom use negotiation with partners, etc.) to prevent high-risk behaviors and HIV/AIDS-STDs infection in young Latinas of Santa Ana, CA. 1998-2007.*

#### ***SAMHSA/TCE -INSTITUTE FOR WOMEN'S HEALTH: TARGETED CAPACITY EXPANSION-HIV PROJECT***

Originally funded as the *Instituto Para La Salud Femenina* to provide comprehensive treatment service for Latina women residing in East and Southeast Los Angeles who engaged in high-risk behaviors and/or were HIV positive, this project was refunded by SAMHSA as the *Institute for Women's Health Targeted Capacity Expansion– HIV Project*. Participants were provided with a one-year Drug Treatment and Education Program that included baseline, 6-month, and 12-month follow-up phases. Program services included high-risk behavior prevention, substance abuse treatments, HIV/AIDS related services, as well as in-home psych assessment and counseling. The program curriculum emphasized relapse prevention, physical and psychological effects of engaging in high-risk behaviors, HIV/STD/Hepatitis C transmission, risk reduction, and safer sex practices (including contraception, pregnancy prevention, and abstinence). Project participants were also provided with community-based recovery services and outpatient treatment for substance

abuse and HIV/AIDS prevention in addition to case management, parent-child interaction activities, transportation, respite childcare, and a drop-in center. The evaluation of this treatment program was conducted by BAI using the local site-specific qualitative/quantitative questionnaires and the GPRA tool (developed by SAMHSA), which were provided in both English and Spanish. Evaluation took place at baseline, 6-months, and a 12-month follow-up. A total of (N=55) women per year were assessed for the duration of the five-year project. BAI served as an independent Evaluator 1997-2002.

#### ***JOVEN NOBLE – MALE INVOLVEMENT PROGRAM***

The main goal of the Male Involvement Program, a federally funded research project thru Bienvenidos Family Services & Children's Center, Inc., was to prevent and reduce unplanned pregnancies, promote abstinence, and improve male reproductive health and responsibility among participating high risk or at-risk males. The project focus was to increase participating male and/or families' knowledge of sexually transmitted disease prevention, HIV prevention, family planning methods, male family planning services (i.e., increasing the use of contraceptives and safe sex practices), and to increase community involvement in the prevention of unplanned pregnancies. The project was divided into three Tiers. Tier 1 was a 10-session core Joven Noble Curriculum serving a total of (N=120) males and (N=60) parent/adults per year. Evaluation occurred as a qualitative/quantitative questionnaire both at baseline and upon exit with dosage being tracked during each session. Tier 2 was a 2-session Joven Noble crash course on male reproductive health for males between the ages of 14 to 24. A total of (N=400) males received this curriculum each year. Evaluation was assessed on a voluntary basis at the end of the sessions with a three-page qualitative/quantitative questionnaire. Tier 3 serviced (N=2140) males per year between the ages of 14-24. These males were invited to the male clinic for testing on STI's and HIV/AIDS. Sign-in sheets were collected to track the number of males reached and to identify which events attracted more males. A one-page qualitative/quantitative male satisfaction questionnaire was distributed to assess those males who have received services from the clinic. BAI served as an independent Evaluator 1997-2002.

#### ***SAMHSA/CSAP -PROJECT HEAL***

This Substance Abuse and Mental Health Services Association (SAMHSA) Center for Substance Abuse Prevention (CSAP) funded program was designed to provide substance abuse and HIV/STD prevention services to both young men and women and their parents or guardians. BAI performed a process evaluation that included site visits, site administration, staff training, data collection, and database management activities. Participants were provided with a one-year substance abuse and HIV/STD education program, family counseling, and family and youth activities. Project curriculum promoted family formation, positive family communication, parenting techniques, physical and psychological effects of alcohol, tobacco, and drugs, HIV/STD transmission, risk reduction, and safer sex practices (including abstinence, contraception, and teen pregnancy prevention). 1997-2004.

### ***MENTAL HEALTH***

#### ***DEPARTMENT OF EDUCATION - TRANSITIONING GANG-INVOLVED YOUTH TO HIGHER EDUCATION***

Funded by the Department of Education, the Transitioning Gang-Involved Youth to Higher Education (TGIY) grant was awarded to Project Youth Orange County (PYOC). The purpose of this grant is for organizations to work directly with gang-involved youth to help such youth pursue higher education opportunities that will lead to certification or credentials. PYOC has been working on the front lines with at-risk youth and their families for over 50 years. BAI will oversee the collection, and analysis of process and outcome data for this TGIY program. The evaluation will provide information on enrollment, retention and certification on gang involved youth participants, as well as other risk and resilience factors related to successful participation. The goals of the program include Youth (1) Increase knowledge of career pathways, (2) Increase financial literacy and skills, (3) Increase professional skills, (4) Increase self-sufficiency skills, (5) Complete vocational training or certificate program, and (6) Obtain gainful employment. Caregivers (1) Increase gang and substance abuse awareness. Community (1) Increase Project Youth OC capacity, (2)

Increase youth access to continued prevention and care services, (3) Increase coordination among local community college and service providers. This 36-month project period October 2023 to October 2026.

#### ***SAMHSA DEPUTY EMPATHY AWARENESS TRAINING (DEAT)***

BAI is conducting the project evaluation for the Los Angeles based Center for Health Justice program titled the Deputy Empathy Awareness Training (DEAT). This SAMHSA funded program provides training to law enforcement for mental health and empathy awareness. CHJ is a community-based organization that serves people impacted by incarceration and provides a variety of evidence-based programs to incarcerated youth and adults in Los Angeles County. 2023 – 2028.

#### ***THE EAST LOS ANGELES WOMEN'S CENTER PROJECT HEAL***

Funded by ACF, the East Los Angeles Women's Center's *Project Heal* provides a comprehensive trauma informed-culturally responsive program designed to address trauma and the immediate needs of Latinx children, birth to 18 years old, and their non-abusing parent, who have been exposed to domestic violence (DV), family violence (FV) or child abuse. The program's goals are to reduce PTSD symptoms, psychological and behavioral problems, and improve adaptive coping strategies, socio-emotional development, and access to resources for children and parents. Program evidenced based interventions include CBT therapy, Nurturing Parenting Program, and Seeking Safety. BAI is collecting data (Feb 2021-Jan 2024).

#### ***VENTURA COUNTY BEHAVIORAL HEALTH Screening, Triage, Assessment, and Referral (STAR) EVALUATION***

BAI is contracted by Ventura County Behavioral Health (VCBH) to perform an evaluation of the Screening, Triage, Assessment, and Referral (STAR) Referral Service. The Evaluation of the STAR program process will help determine the program's efficiency and timeliness in meeting client needs from first contact to treatment. BAI will develop an end-to-end process mapping of STAR services and a time study evaluation that analyzes the time from first contact with a client-to-client treatment using 30 randomly selected Latino clients from the Oxnard and Santa Clara Valley communities. In addition, BAI will Conduct interviews with clients at various levels of STAR services and community-based organizations serving the Latino community. The goal of these interviews is to solicit feedback from clients and community-based organizations about their experience with the STAR program services and process. VCBH is particularly interested in: (1) understanding clients and community-based organizations perception and experience with the STAR program process, (2) why clients did not choose to proceed with the assessment process after a referral, (3) why clients did not enter and/or continue with treatment after an assessment, and (4) what feedback community-based organization receive from the community on the STAR program and process. January 2020-January 2021.

#### ***LA Clínica DE LA RAZA, CULTURA Y BIENESTAR PROGRAM EVALUATION -LA COUNTY DEPARTMENT OF MENTAL HEALTH: CULTURAL COMPETENCY TRAINING ASSESSMENT PROJECT.***

Funded by the California State Office of Health Equity, Department of Public Health, this 5-year pilot project aims to implement and evaluate a comprehensive traditional healing program to improve mental health services for Latinos in Oakland/Alameda CA. BAI is contracted as the evaluation consultant. A range of traditional health techniques are evaluated including "platica" groups, drumming, traditional and natural medicines, and other customs founded on Mexico and Latin America, along with more common group and individual counseling services. The Focus Group Interview questions asked participants about their level of knowledge about mental health symptoms and inquired about the impact of the CyB program on improved knowledge. The use of focus groups to elucidate the nature and perceived effectiveness of CyB services proved to be successful. A representative sample of adult consumers from each of the four CyB service sites was accomplished. The findings offer perceptions about this innovative services model from the perspective of Latino clients whose voices are not often heard in traditional research or evaluation settings. (2018-2024). This program was expanded in 2021 and will continue to 2026.

#### ***AFRICAN COMMUNITIES' PUBLIC HEALTH COALITION MENTAL HEALTH ADVOCACY***

This evaluation project aimed to improve mental health services for African American Immigrants and Refugees in Los Angeles, CA. BAI was contracted as the evaluation consultant. Focus Groups were<sup>13</sup>



conducted that identified mental health within the (Black) immigrant and refugee communities, along with stressors that surround being an immigrant or refugee, coping with stress, stigma, housing, immigration policy and other topics related to African American immigrants and refugees in Los Angeles. 2019.

#### ***PASO DEL NORTE HEALTH FOUNDATION MENTAL ILLNESS-STIGMA REDUCTION SITUATIONAL ANALYSIS***

BAI worked closely on this contract with the Paso del Norte Health Foundation (PDN), implementing a comprehensive situational analysis that will help guide future mental health anti stigma work and will identify funding priorities and campaign strategies for the Foundation. The Proposed Stigma Reduction Situational Analysis was framed within a multi-method information collection process with the following features: a) BAI conducted a comprehensive literature review, including a best practices compendium; b) Developed county level mental health profiles; c) Conducted key informant interviews in U.S. and Mexico; d) Conducted focus groups with consumers and family members in U.S. and Mexico; e) Developed a roster of potential program partners, stakeholders, and target audiences; and f) Delivered a stigma reduction recommendations report from the situational analysis findings. In addition, BAI teamed with partners in Cd. Juarez and implemented the Situational Analysis on the Mexican side of the border. BAI's efforts assisted the Paso del Norte Health Foundation located in El Paso, Texas, in building a stronger anti-stigma campaign for this border region. JAN. 2013- MAY 2013.

#### ***SKILLS FOR PREVENTION, INTERVENTION, RECOVERY, INDIVIDUAL TREATMENT, & TRAINING (SPIRITT)***

The primary mission and philosophy of SPIRITT Family Services was to strengthen the family unit by promoting mental health and well-being through proactive programs of education, prevention, intervention, treatment and recovery, and to strengthen the individual's self-concept through personal development. BAI was contracted as evaluator for the following 2 SPIRITT programs: 1) Child Abuse Risk Intervention and Neighborhood Outreach Partnership For Families (CARINO PFF) -A FIRST-5 funded initiative that aims to improve the quality of services and support for at-risk families with children 5 years of age and under; increase the capacity of community partners to coordinate, collaborate, and mobilize as well as identify, engage, and serve at-risk families; and increase information about prevention of child abuse and neglect within SPA3 of LA County. 2009-2014. 2) WINDOWS/VENTANAS -A family communication skills program that has been honored at both the state and national levels for its effectiveness in the prevention of substance abuse by strengthening families. This program offers a 7-week curriculum that provides a safe forum for family discussions that address fundamental skills to begin problem solving and laying a groundwork for healthy communication. This curriculum is offered in both English and Spanish. 2009-2025.

#### ***CALIFORNIA ENDOWMENT: JOVEN NOBLE***

This demonstration project was comprised of a 2-year, 4 Phase program with the aim of establishing the Joven Noble (JN) curriculum and related materials as an evidence base prevention and early intervention model for Latino adolescents. This project aimed to implement and test a new model of Prevention and Early Intervention for Latino youth. Our objective was to gain approval by at least 1 of the key national accrediting bodies including The National Registry of Evidence-Based Programs and Practices (NREPP) and the Juvenile Justice Bulletin: Blueprints for Violence Prevention and thereby make available the JN program for community-based agencies, schools and other youth service organizations. BAI was responsible for the following project objectives: 1) Finalize the Joven Noble Curriculum and related training materials to emphasize mental health promotion and behavioral health issues for prevention and early intervention (PEI); 2) Compile existing evaluation data, reports and findings on past JN programming; conduct rigorous data analysis using existing evaluation information/data, 3) Design a program implementation and evaluation program with 1 youth serving agency in the Central Valley of California, 4) Implement Joven Noble, 10 week curriculum based prevention and early intervention program for 50 at risk Latino youth ages 11-14, 5) Monitor program fidelity and implement a pre- post and follow up evaluation design for JN assessment at the Visalia site, and 5) Conduct data analysis on the Joven Noble program evaluation findings and prepare all relevant materials on Joven Noble findings to NREPP and at least one other Model Program review panel. 2009-2011.

### ***SAMHSA/CMHS -NEW MEXICO MENTAL HEALTH TRANSFORMATION EVALUATION (TSIG)***

Behavioral Assessment, Inc. (BAI) was contracted to evaluate the New Mexico Mental Health Transformation –State Incentive Grant project. New Mexico was one of 7 States that had successfully competed to win a Substance Abuse and Mental Health Services Administration (SAMHSA) Center for Mental Health Services (CMHS) Mental Health Transformation State Incentive Grant (T SIG) to implement the goals set forth in the New Freedom Commission (NFC) Final Report. Through this award, NM emerged as a forerunner state in the transformation of the American mental health system. The long-term evaluation goal was to assess how effective the MHT SIG was in transforming NM from a fragmented, non-evidence-based patchwork of mental health services characterized by large disparities into a single behavioral health service delivery system in which funds are well managed, recovery and resiliency are supported, mental health is promoted, and mental illness prevented or reduced, and consumers participate fully in the life of their communities. BAI's short term goal of the evaluation was to consult with the area Leads and other state evaluation agents in the development of feasible evaluation plans for the T SIG activities targeting each of 6 goals for mental health transformation outlined by the NFC. *This project demonstrates BAI's professional skills in the areas of large-scale multisite evaluation, systems change and transformation, expertise in working with integrated data management systems, and in designing & managing multi system, state-level evaluation projects. 2006-2011.*

### ***CALIFORNIA ENDOWMENT (TEC) CENTER FOR MULTICULTURAL DEVELOPMENT (CMD)***

The Center for Multicultural Development received funding for a Cultural Competence project from The California Endowment (TCE). The overall goal of the project was to assess 7-counties for cultural competence in mental health. The assessment plan had three levels: systems, organizational, and clinical levels in order to establish the "Best Practices" and create a template on Cultural Competence. The template served as a means to make policy changes within the mental health system. BAI evaluated the CMD-TCE assessment, which included the process data (e.g. agendas, instruments, training materials, etc.) and outcome methodologies (e.g. development of questionnaires, observations, instrument development, etc.). October 1997-September 2000.

## ***SUBSTANCE MISUSE/ABUSE***

### ***CENTER FOR HEALTH JUSTICE: SAMHSA CSAP NAVIGATOR – SUNRISE PUEBLO ACTION PROJECT***

The Sunrise Community Counseling Center (SCCC) serves the surrounding Los Angeles County area with bilingual substance abuse prevention and treatment, mental health counseling services, psycho-social services, and education, to heal and enrich the community's overall well-being. This SAMHSA funded Pueblo Sano Project (PSP) is a multi-faceted community-approach project to prevent and reduce underage drinking among Latino/Hispanic youth, ages 9-15 years old, in Los Angeles, California. PSP identifies and targets Latino youth by navigating existing networks and referral systems that include local service providers, middle schools and high schools, and community events and activities. PSP engages participants by offering participation in a culturally tailored prevention intervention for Latino youth and their families to help participants understand the underlying risk and protective factors, and contributing conditions that are implicated in the early onset of underage drinking among Latino youth. BAI is contracted to conduct the program evaluation. 2022-2025.

### ***CENTER FOR HEALTH JUSTICE: SAMHSA KEEPING IT SOBER (KIS PSF CSAP)***

The Center for Health Justice, "Keeping it Sober" (KIS) Strategic Planning and Prevention Program will target incarcerated and post-incarcerated socially disenfranchised, racial, ethnic and culturally and linguistically diverse minority women (ages 13-34) including minority women with children and LGBTQ women and girls due to their drastically disproportionate representation in correctional institutions, and who are at the highest risk of substance use disorders (SUD). Behavioral Assessment Inc. (BAI) oversees the design and implementation of process and outcome evaluation activities. Dr. Richard Cervantes serves as Lead Evaluator and will supervise and monitor the data collection, data entry, evaluation activities, and will provide the data analysis and reporting. KIS offers group intervention to justice involved minority (Black,<sup>15</sup>

Latinx, American Indian, and LGBTQ+) youth and women, as well as one-on-one re-entry support. This program enhances local outreach and in-reach; including classes on risk reduction, health coverage enrollment assistance, building stronger connections between providers, facilitating increased accessibility to services after release from custody, social media campaigns to improve outcomes, and evaluation of program's effectiveness through data collection to inform program development. October 2020 – October 2025.

#### ***SAMHSA-CSAP NATIONAL HISPANIC & LATINO PREVENTION TECHNOLOGY TRANSFER CENTER (PTTC) EVALUATION***

The National Hispanic/Latino Behavioral Health Association (NLBHA) contracted BAI to serve as the independent evaluator for the SAMHSA funded National Hispanic and Latino Prevention Technology Transfer Center (PTTC). BAI implemented both process and outcome evaluations. Data was collected using the SAMHSA CSAT GRPA post event satisfaction survey for all training, technical assistance, and meeting events and 30-60-day follow-up as required. BAI developed a Needs Assessment Query to identify technical assistance and training needs, and preferred methods of training for those seeking services from the PTTC and to address the needs of special populations. Over 4,000 Hispanic serving workforce providers successfully participated in PTTC training and technical assistance events over the course of the project. 2018-2023.

#### ***SAMHSA-CSAT -HOMELESS OUTREACH FOR MEDICATED ASSISTED TREATMENT (MAT) EXPANSION PROGRAM (HOME)***

BAI was contracted to serve as the independent evaluation consultant to the HealthRight360 (HR360) HOME project. The population of focus is homeless adults with opioid use disorder (OUD) who are at risk for or are currently homeless in San Francisco (SF). Services will be provided throughout (SF) via community outreach, at HR360 medical clinics, and at HR360 residential and outpatient substance use disorder (SUD) treatment programs in SF. BAI will manage all aspects of data collected by trained HR360 staff. Using the SAMHSA/CSAT GPRA, data will be collected at intake, three-months post intake, six-months post intake, and at discharge. The outcome evaluation will utilize a repeated measures quasi-experimental design (n=320) to assess the project's goals and objectives: (1) What was the effect of the evidence-based intervention on key outcome goals? (2) What activities (dosage) or contextual factors were associated with outcomes? (3) What individual factors were associated with outcomes, including race/ethnicity? (4) How durable were the effects in maintaining the project's outcomes at 6 months and discharge? (5) Was there any gender, racial, or ethnic differences found in outcomes? All process and outcome data results will aid HR360 staff and the PD in monitoring progress. 2019-2024.

#### ***SAMHSA-CSAT -SUNRISE COMMUNITY COUNSELING CENTER: THE PUEBLO SANO PROJECT (PSP)***

The community-based organization Sunrise Community Counseling Center (SCCC) proposes to implement the Pueblo Sano Project (PSP), a multi-faceted community-approach project to prevent and reduce underage drinking among Latino/Hispanic youth, ages 9-15 years old, in Los Angeles, California. PSP will implement a comprehensive multifarious prevention and infrastructure-building approach, including a mix of an evidence-based program (EBP), policies, and practices that best address the prevention priorities of underage drinking use among Latino youth ages 9-15 in SPA 4 of LAC. PSP will rely heavily on the implementation of the Strategic Prevention Framework (SPF) and the epidemiological findings to identify and select comprehensive data-driven alcohol prevention strategies, increase capacity through community TA/trainings/education sessions, utilize community coalition building strategies to increase prevention reach at the community level, create effective social media prevention messaging, and collaborate with the Prevention Technology Transfer Centers. Additionally, PSP will implement Familia Adelante, a Latino specific EBP, and will serve 220 (Y1: 30, Y2: 50, Y3: 50, Y4: 50, Y5:40) unduplicated youth and their families as part of the direct services component of PSP. The infrastructure will also include an epidemiological team that will collect and curate public and new data, produce reports and data visualizations to address the rapid changes in SPA 4. PSP will also address the priority area of marijuana use prevention. 2020-2024.



### ***SAMHSA-CSAP NATIONAL HISPANIC AND LATINO ADDICTION TECHNOLOGY TRANSFER CENTER (ATTC) EVALUATION***

The National Hispanic/Latino Behavioral Health Association (NLBHA) contracted BAI to serve as the independent evaluator for the SAMHSA funded National Hispanic and Latino Addiction Technology Transfer Center (ATTC). BAI implemented both process and outcome evaluations. Data was collected using the SAMHSA CSAT GRPA post event satisfaction survey for all training, technical assistance, and meeting events and conducted 30 to 60-day follow-up as required. The goals and objectives were met successfully as providers adopted and implemented culturally appropriate, evidence-based and promising SUD treatment and recovery-oriented practices and services, and the ATTC fostered regional and national alliances among culturally diverse practitioners, researchers, policy makers, funders, and the recovery community and provided technical assistance and training to over 3,000 Hispanic training event participants. BAI developed a Needs Assessment Query to identify technical assistance and training needs, and preferred methods of training for those seeking services from the ATTC and to address the needs of special populations. 2018-2023.

### ***SAMHSA/CSAT: LA CLÍNICA DE LA RAZA – BASE4 PROGRAM***

In response to the need for prevention services for substance abuse (SA), HIV and Viral Hepatitis (VH) among low income, minority young adults in Oakland, California, La Clínica de La Raza, Inc. (La Clínica) implemented the Base4 Program. Funded by SAMHSA/CSAT, the Base4 Program provides multi-level approaches to substance use prevention and HIV and VH infection prevention for low-income minority young adults ages 18-24. The strategies used in the Base4 program to address substance use prevention and HIV and VH infection prevention are through prevention education, stress management, job skills building, creating community connections and direct testing. The Base4 program is comprised of two components: 1) Color It Real evidence-based program and 2) The Community Action Model (CAM). The Color It Real program provides education on substance use prevention, HIV prevention, and has been modified to include VH prevention education. Using the Community Action Model (CAM), Peer Health Educators will be trained through the Base4 Program. The CAM component will build upon the prevention education from the Color It Real component. La Clínica's Base4 Program has four overarching goals: 1) Build infrastructure to improve SA, HIV and VH prevention for young adults; 2) Engage and support young adults in stress reduction, and HIV and VH awareness; 3) Provide ongoing skill building and community building for young adults; 4) Provide direct HIV and VH testing services, and SA prevention services. Over five years, the Base4 Program will directly serve 360 young adults as Base4 Participants, an additional 540 young adults through peer-led health education in SA and HIV and VH prevention and 540 young adults through direct HIV and VH testing for a total of 1440 young adults served. In addition to these measures, participants of the Base4 program will gain knowledge about substance use, HIV and VH prevention, correct condom use, and gain community connections. Additionally, Base4 Participants will be assessed for competency in demonstrated job skills. BAI is contracted to serve as independent contractor for the BASE4 Program. October 2017 – September 2021.

### ***SAMHSA SUNRISE COMMUNITY COUNSELING CENTER, INC. PROYECTO NUEVO DÍA/ PROJECT NEW DAY***

Sunrise Community Counseling Center, Inc. (SCCC) is a community- based substance abuse and mental health service provider organization with more than 36 years of experience serving the residents of Service Planning Area (SPA) 4/Metro area of Los Angeles, California. SCCC delivers a multi-faceted range of services including substance use disorder prevention and treatment, domestic violence intervention, treatment for sexual abuse perpetrators and victims, and dual diagnosis treatment. The goals of *Proyecto Nuevo Día/ Project New Day (PND)* include (1) Increase community capacity to provide SA, HIV and VH prevention services; (2) Increase the protective environment of the community to prevent SA and transmission of HIV and VH through education and capacity building to provide these services; (3) Increase knowledge about SA, HIV, and VH; (4) Increase the number of the Latinos, African Americans, and LGBT individuals tested and/or referred for SA or HIV and VH services; (5) Increase HIV and VH testing and counseling by 15% each year, and (6) decrease substance use by at least 10% each year. October 2015- September 2020.

### ***SAMHSA/CSAP READY TO RESPOND: MADRES UNIDAS INITIATIVE – HERMANA-BSFT WOMEN'S PROJECT***

For this project, the Orange County Bar Foundation (OCBF) targeted *heterosexual Latina women*, in Santa Ana, California, that are at high risk for substance abuse and HIV infection, and have delinquent Latina adolescent daughters, ages 12-17. These Latina mothers were between the ages of 26-50. The *objectives* include: 1) the reduction of youth drug/alcohol use and further substance abuse; 2) to reduce emotional and behavioral problems; 3) to improve family functioning and communication; 4) to increase knowledge about HIV/AIDS, Hepatitis, and STD's; 5) to provide free HIV counseling, testing and referral services in a culturally competent manner; 6) to decrease cultural barriers in seeking HIV/AIDS related services; 7) to continue to implement SAMHSA's Strategic Prevention Framework through the combination of BSFT an evidence-based substance abuse prevention program with HERMANA an evidence-based HIV prevention program; and 8) to implement environmental strategies based on a community systems perspective. Behavioral Assessment, Inc (BAI) served as the independent evaluation consultant to the project. 2010-2015.

#### ***SAMHSA/CSAT ORP YOUTH TRANSITIONAL INTERVENTION PROGRAM/ORANGE COUNTY BAR FOUNDATION***

The purpose of the project was for The Orange County Bar Foundation (OCBF) to provide re-entry youth, ages 14-18, in Orange County, California and their families with culturally relevant and language appropriate aftercare services to extend substance abuse treatment, prevent recidivism, improve youth environments, and provide access to pro-social activities and local resources. This was achieved through a system coordination approach and strong partner agency linkages. **Goals:** Adolescents -(1) Reduce youth drug/alcohol use and prevent relapse; (2) Decrease recidivism and delinquent behavior; (3) Prevent HIV infection; (4) Increase pro-social activities and positive peer relationships; (5) Improve family functioning; (6) Increase job skills and employment; and (7) Promote academic advancement. Caregivers/parents-(1) Participation in the treatment process; (2) Increase parental monitoring and encouragement of youth abstinence from alcohol/drugs; and (3) Increase family communication and problem-solving skills. Community-(1) Increase access to aftercare services in Orange County that are cultural and language appropriate for re-entry youth populations. (2) Enhance collaboration among existing service providers in Orange County. *Behavioral Assessment, Inc (BAI) served as the independent evaluation consultant to the project. 2009-2014.*

#### ***NEW MEXICO MENTAL HEALTH TRANSFORMATION STATE INCENTIVE GRANT (TSIG) EVALUATION***

Behavioral Assessment, Inc. (BAI) was contracted to evaluate Year Two of the New Mexico Mental Health Transformation –State Incentive Grant project. New Mexico was one of 7 States that had successfully competed to win a Substance Abuse and Mental Health Services Administration (SAMHSA) Center for Mental Health Services (CMHS) Mental Health Transformation State Incentive Grant (T SIG) to implement the goals set forth in the New Freedom Commission (NFC) Final Report. Through this award, NM emerged as a forerunner state in the transformation of the American mental health system. The long-term evaluation goal was to assess how effective the MHT SIG was in transforming NM from a fragmented, non-evidence-based patchwork of mental health services characterized by large disparities into a single behavioral health service delivery system in which funds are well managed, recovery and resiliency are supported, mental health is promoted, and mental illness prevented or reduced, and consumers participate fully in the life of their communities. BAI's short term goal of the evaluation was to consult with the area Leads and other state evaluation agents in the development of feasible evaluation plans for the T SIG activities targeting each of 6 goals for mental health transformation outlined by the NFC. *This project demonstrates BAI's professional skills in the areas of large-scale multisite evaluation, systems change and transformation, expertise in working with integrated data management systems, and in designing & managing multi system, state-level evaluation projects. 2006-2011.*

#### ***PROJECT FUERZA***

The *FUERZA Project* was a selective intervention to target those individuals who were at greater risk for substance abuse. Implementation occurred during the second year, allowing year one to be fully designated to planning. Targeted individuals were identified based on the number of risk factors for substance abuse to which they may have been exposed. This approach was taken by the *Greater Alliance of Prevention Services (GAP)* that provided prevention information in a culturally relevant manner; alternative activities for youth

to help them learn about their culture; a social competence component on peer leadership groups; educational groups for parents to learn about child development; and involvement of community leaders in the development of a community action plan that promotes substance abuse prevention (Department of Health and Human Services, 2002). *The theoretical rationale for the FUERZA Project was to reduce the risk factors among high-risk youth while enhancing the protective factors across multiple domains. 2003- 2007.*

#### **STOP SHORT OF ADDICTION – SPANISH LANGUAGE PROGRAM**

Funded by the California Endowment and the Uni-Health Foundation, the SSOA was a program designed by the Orange County Bar Foundation conjunctively with BAI. The main program goals were to prevent substance abuse, criminal activity, and mental health problems in Latino adolescents (male and female), ages 12-17, and their families. A total of (N=250) high-risk Latino youth (10 in the start-up year and 60 in years 2-5) living in economically strained communities dealing with acculturation issues and exposure to crime and/or violence were recruited throughout the project. Intervention activities were organized in 3 pre-therapy sessions and 8 family system drug treatment sessions based on four models: Strategic Therapy (Salvador Minuchin), Family systems (Virgilia Satir), Functional Family Therapy (Alexander & Parsons) and the Brief Strategic Family Therapy (Jose Szapoznick). Youth were evaluated on demographic information, CSAT/GPRA questionnaire on drug and alcohol use, education, employment, and attitudes and beliefs of drug use. Parents were evaluated on demographic information, Stress (using the Hispanic Stress Inventory), and youth behavior (using SIPA). The effectiveness of the program was based on comparing information collected at baseline, post-test (around 6 months) and follow-up (12 months). *This program demonstrates BAI's expertise in designing and implementing programs and providing training to staff on data collection, scientific methods, and scientific based models for treatment and prevention. 2003-2007.*

#### **SAMHAS/CSAP -YOUTH ADELANTE**

Funded by SAMHSA CSAP, the Youth Adelante program was a ten-week substance abuse & HIV prevention program for middle school youth in the San Fernando Valley. The study was a three-year project, targeting (N=153) youth and their parents. Youth ranged in age from 12-14 and included both males and females. Youth were referred to the program by school staff. Youth criteria were based on recent history of mild to moderate behavioral problems, contacts with school police or law enforcement, possession of alcohol and drug substances, excessive absences and/or promiscuous behavior. BAI evaluated the effectiveness of the curriculum to improve youth well-being and family bonding while decreasing stress and reducing conflict within the family. Process evaluation identified barriers to program implementation, tracked modifications to the intervention, documented the extent of satisfaction with cultural relevance of the Youth Adelante Project; and monitored the effects of the proposed interventions and dosage. The evaluations were mostly qualitative in nature, however, did not exclude the use of quantitative information (i.e., client satisfaction). All data had been collected for both youth and their parents at baseline, posttest (6 month), and follow-up (12-months) measurement points for each cohort. All Data entry was conducted by BAI. 2001-2005.

#### **NEW MEXICO STATE INCENTIVE GRANT (NMSIG) & PREK-6**

BAI was awarded a contract by the state of New Mexico Department of Health/Behavioral Health Services Division to provide professional evaluation & training services to the State. BAI was hired to develop and facilitate the implementation of a comprehensive process and outcome evaluation plan for New Mexico that included a multi-level assessment of state, community, and local sub-recipient prevention activities. An evaluation plan was developed that implemented methods to document the state level activities and the accomplishments associated with the NMSIG, and all sub-recipients. The NMSIG initiative began in October of 1998 and was scheduled to be completed in September of 2003. The goals of this initiative include the development of prevention technologies for youth ages 12-17 with a focus on ethnic minority youth and high-risk females. Nineteen service providers were funded to provide model prevention programming to youth across the state. Service providers were at liberty to choose a science-based program to implement

in their communities. Due to the variety of programming in the state, NMSIG required an external evaluator to assist in the evaluation of the local process or curricula. The next tier that NMSIG developed was that of the statewide evaluation process. BAI's role included the development, monitoring, collecting, and reporting of instruments utilized by all service providers. As a result of this process, a statewide evaluation plan was implemented. Such activities included gathering input from local and state providers, training and TA to service providers and local evaluators, developing evaluation instruments, piloting, and testing instruments, organizing data collection and sharing outcomes with service providers and the community. The renewed contract (2003) was awarded with an additional initiative that focused on a younger population and their families, Pre-Kindergarten to sixth grade (PreK-6). This program continued to develop culturally appropriate prevention programming and evaluation services in New Mexico. Oct. 1998-Sept. 2007.

---

#### ***TEXAS STATE INCENTIVE PROGRAM GRANT***

---

The Texas Commission on Alcohol and Drug Abuse, The Texas State Incentive Program (TCADA/TSIP) was designed to implement science-based prevention efforts for youth, ages 12-17 years old and improve the nature and quality of prevention services. The scope and nature of the (TCADA / TSIP) was comprehensive with intermediate and long-term impact. Using a quasi-experimental design, BAI was the contract evaluator, implemented a core outcome evaluation tool and developed a high-tech web-based data management system for local evaluators for the pre-post data collection approach that determined changes in a variety of risk factors, protective factors, alcohol, tobacco, and other drug use outcomes. A multi-site evaluation methodology was used in order to allow for a comprehensive statewide assessment to be conducted. Technical assistance was provided on issues related to prevention evaluation implementation, data collection, data analysis and report preparation in order to increase the capacity of the State and local communities to engage in meaningful self-assessment and evaluation. BAI also assisted in preparing a series of published manuscripts that emphasized various prevention approaches and findings generated from the TCADA/TSIP database. These publications provided the prevention field with useful information on statewide planning, development of evaluation systems, outcome findings, and information on culturally relevant prevention strategies, particularly those aimed at Hispanic and Native American populations. *Sept. 2002-Sept. 2012*

---

#### ***CALIFORNIA STATE INCENTIVE GRANT***

---

In cooperation with the Center for Substance Abuse Prevention (CSAP), California was awarded a State Incentive Grant that used evidence-based prevention and early intervention programs to reduce binge drinking in youth and young adults in California. BAI was brought in to evaluate these efforts. The Goals of the project were to develop and implement a comprehensive prevention strategy, develop sustainable programs using science-based prevention practices that focus on youth and young adults and to reduce binge drinking among youth and young adults (ages 12-25) by 50 percent in five years. Baseline studies have established that binge drinking is a serious problem among California's youth which lead to increased incidence of traffic accidents, and problem behaviors including violence, suicide, and educational failure. 2003-2005.

---

## ***Tobacco Prevention***

### ***LOS ANGELES COUNTY ATTORNEY'S OFFICE (LACA) TOBACCO RETAILER EDUCATION PROGRAM (STELARR)***

John Snow Research and Training Institute, Inc. (JSI) has partnered with BAI to develop an evidence based innovative *Online Tobacco Retailer Education Program (STELARR)* for the Los Angeles City Attorney's Office (LACA). The Team will update, translate, and culturally adapt existing content from materials provided by the Attorney's Office. They will also develop new content that integrates mindfulness and CBT approaches. The Instructional Design Team will design the course content that complies with the tenets of adult learning theory and includes features such as various types of learner interactions, glossaries, transcripts, and resource documents. This tobacco retailer education program supports the LA Tobacco Enforcement Operation's (TEO) overall goals of reducing youth tobacco access through the Decreasing Adolescent Tobacco Access (DATA) Initiative. The education program will be available in both English and Spanish languages. 2022-2024.

### ***UNIVERSITY OF SOUTHERN CALIFORNIA, KECK SCHOOL OF MEDICINE: ASSESSING TENANT & LANDLORD PERCEPTIONS ABOUT SMOKE-FREE POLICIES IN MULTI UNIT HOUSING IN UNINCORPORATED LOS ANGELES COUNTY***

This study was an evaluation project that examined exposure to secondhand smoke and support for smoke free policies in MUH among tenants and landlords that live in the unincorporated areas of Los Angeles County. Targeted populations for this study included vulnerable communities that suffer tobacco-related disparities, such as those with low income, low English proficiency, low levels of education and low literacy, and ethnic/racial groups that are particularly at a high risk of exposure; mostly because they live in rented multi-unit housing (MUH). BAI developed the survey assessment tool in both English and Spanish and conducted the survey interviews in English and Spanish language. January 2021-December 2021.

### ***CALIFORNIA TOBACCO CONTROL (CTCP): FAMILIES UNITING LOCALLY TO SOLVE TOBACCO PROLIFERATION (FULSToP) INITIATIVE***

Funded by the California Tobacco Control Program, through the Proposition 56 California Healthcare, Research, and Prevention Tobacco Act of 2016, the Niles Sisters Development Initiative's "Families Uniting Locally to Solve Tobacco Proliferation (FULSToP)" is a community-based initiative that aimed to reduce tobacco-related health disparities among refugee, ethnic, and African-American/Black communities in San Diego and Orange counties. The FULSToP collaborative partners engaged in numerous activities to facilitate the adoption and implementation of smoke-free policy with faith-based organizations and Multi Unit Housing. Additionally, FULSToP supported deterrent policies toward the retail of flavored tobacco products in cities of Lemon Grove and Lake Forest in San Diego County, and Oceanside in Orange County. The statewide ban on flavored tobacco sales took full effect in 2024. BAI served as the Independent Evaluator. Evaluation activities included but were not limited to analysis of observational data, public opinion polls, and knowledge pre/post surveys, workshops, key informant interviews, and focus groups. 2018-2023.

### ***CALIFORNIA TOBACCO CONTROL PROGRAM (CTCP): KEEPING OUR LUNGS SAFE (KOLS) INITIATIVE***

Funded by the California Tobacco Control Program, through the Proposition 56 California Healthcare, Research, and Prevention Tobacco Act of 2016. The African American Public Health Coalition's "Keeping our Lungs Safe (KOLS)" program is a community-based initiative that aims to reduce tobacco-related health disparities among refugee, ethnic, and African American/Black communities in Los Angeles County. KOLS collaborative partners engage in activities to facilitate the adoption and implementation of smoke-free policy with faith-based organizations, Multi-Unit Housing, and flavored tobacco retailers. Additionally, KOLS seeks to enhance deterrent policies toward flavored tobacco products. As a result of this initiative, the City of Carson in Los Angeles County adopted and implemented a "flavored tobacco" ban effective in 2020. BAI served as the Independent Evaluator. Evaluation activities included but are not limited to analysis of observational data, public opinion polls, satisfaction surveys, knowledge gained from workshops and presentations, key informant interviews, and focus groups. The state of CA banned flavored tobacco products effective in Jan 2024. (2019-2024.)



## TRAINING AND TECHNICAL ASSISTANCE

### TRAININGS ON PROGRAM IMPLEMENTATION

#### **FAMILIA ADELANTE**

BAI provides training for organizations to implement Familia Adelante both **in person or virtually** online. Familia Adelante is an evidence-based substance use prevention and stress reduction program for at-risk Latino youth ages 12-14. This 12-week program strengthens ties between family, schools, peers, and culture. Familia Adelante has been proven to: 1) Increase academic performance, 2) Improve school attendance, 3) Reduce substance abuse, 4) Reduce high risk behaviors, and 5) Increase communication among family members. Professionals and paraprofessionals can become certified in FA.

#### ***NNED LEARN: THE TRAINING TRACK FAMILIA ADELANTE***

Since 2015 the training track Familia Adelante (FA) has been SAMHSA sponsored. The annual NNED LEARN training provides certification on Familia Adelante, a multi-risk prevention program that addresses the impact of acculturative stress on Latino communities and equips Latino-serving organizations with a psychoeducational curriculum that helps Latino families prevent and manage negative behavioral outcomes associated with stress exposure. After the (onsite training) *Learn* stage, all FA teams will receive ongoing coaching to help support uptake of the practice. Team members will: 1) Participate in four 1- hour group coaching sessions with the trainer 2) Participate regularly in the online discussion forum, and 3) Complete implementation reporting assignments. Trainer: Dr. Richard Cervantes, Ph.D., Director, Behavioral Assessment, Inc. Teams attended a 2½-day training at the Tamaya Hyatt in Santa Ana Pueblo, NM or have participated in the virtual NNED LEARN. Over 40 non-profit community-based organizations have been trained and certified in FA as part of NNED LEARN.

#### ***FAMILIA ADELANTE ORGANIZATIONAL TRAININGS***

- **Fuente de Vida** – BAI conducted Familia Adelante (FA) training to the staff at Fuente de Vida Mental Health Services located in Las Vegas, NV in February 2023. The FA program is being held at the Clark County School District Family Support Center and is being run by Fuente De Vida staff, which is already serving dozens of Hispanic families.
- **Servicios de la Raza** - BAI conducted Familia Adelante (FA) training to staff at Servicios de la Raza (Servicios) located in Denver Colorado in May 2023. Servicios provides services to Denver's low-income Spanish-speaking populations with linguistically and culturally responsive social services, and has continued to respond to the unmet needs of the most vulnerable members of the Denver community through programs for: behavioral health, youth, victims of domestic violence, sexual assault, human trafficking, individuals living with HIV, mental health, substance abuse, initiatives that target Latino youths and families throughout Colorado, and basic emergency services for people experiencing crisis situations among others.
- **EDEN Family Services** – BAI will conduct Familia Adelante (FA) training to staff at located in Hayward, CA in July 2023. EDEN is a community-centered organization serving underserved youth and families in the community that includes public and mental health, safety, education, and occupational training among others.
- **The Advancement for Mexican Americans (AAMA)** - BAI conducted Familia Adelante training to teams from the Association for the Advancement for Mexican Americans (AAMA) in Houston, TX on January 20, and February 11-13, 2020. AAMA is one of the largest providers of alcohol and substance use prevention and treatment in Texas. AAMA helps youth and adults break the cycle of addiction and change the trajectory of their lives. Programs include prevention education for elementary, middle, and high school students, inpatient and outpatient treatment for teens and adults. AAMA has

received both in person and virtual training for Familia Adelante and utilizes both virtual and in person versions of the program.

#### ***ALTER MANAGEMENT LLC – CAPACITY BUILDING ON EVIDENCE-BASED PROGRAMS***

Behavioral Assessment, Inc. has been contracted to provide training and technical assistance by Alter Management on building organizational capacity to identify and utilize evidence-based programs and practices. BAI will provide training and technical assistance on implementing Evidence based frameworks Getting to Outcomes, and the EPIS Model (Exploration, Preparation, Implementation and Sustainment), in addition to evidence-based program models Seeking Safety, Motivational Interviewing, Cognitive Behavioral Therapy, and The Matrix Model. Alter Management is a manager and operator of primary mental health care and substance use disorder treatment facilities. Alter Management also organizes and produces mental health and addiction recovery wellness events for individuals and their loved ones in order to learn, grow and strengthen their overall wellness. 2020-2021.

#### ***TRANSITION TO PRACTICE PROGRAM TRAINING EVALUATION***

#### ***THE WESTERN UNIVERSITY FACULTY ACADEMY REGION 9 PROGRAM (WU-FAR9)***

Located in Pomona, California, Western University is one of the largest and most integrated health professional universities in the nation. The WU-FAR9 program, funded by HRSA, consists of academic-clinical-community partnerships, which will develop and implement a formal nurse education training curriculum that will be used to train clinical nursing faculty and preceptors in Arizona, California, Hawaii, Nevada, and outlying territories of American Samoa, Guam, and the Commonwealth Northern Mariana Islands (known as the HHS Region 9). There are two main courses, the Clinical Faculty and Educator (CF/E) Certificate Program and the Preceptor Program. To increase the reach and create a sustainability model, two additional courses will be created through this grant funding. These two courses, CF/E Train-the-Trainer and Preceptor Train-the-Trainer will be created to educate graduates of the CF/E and Preceptor courses to conduct future programs on their own. BAI has been contracted to oversee the entire evaluation for this project. 2022-2027.

#### ***THE WESTERN UNIVERSITY INTEGRATED SUBSTANCE ABUSE DISORDER TRAINING PROGRAM (WISTP)***

Funded by the Health Research & Services Administration (HRSA), the WISTP launched on July 1, 2021. Behavioral Assessment, Inc. has been contracted to perform the evaluation that will fully evaluate, measure, and report on the program's progress through 1) HRSA Performance Reporting; 2) Work Plan's Major Objectives and Key Tasks; and 3) Goals and Objectives, and 4) Evaluate the development of effective processes, tools, and strategies for operating the WISTP post-graduate training and measuring its success and sustainability. Trainees will gain first-hand appreciation of the skills and knowledge to integrate mental health screening into primary care. All participants will receive medication-assisted treatment training and apply these new skills with diverse, underserved populations. Through the consortium partnership between WesternU Health, Neighborhood Health, Eisenhower Health, and Clare|Matrix, recently graduated primary care nurse practitioners and physician assistants will advance their primary care skills in high-quality settings that integrate mental health and substance abuse disorder screen, prevention, treatment, and recovery services. 2021-2024.

#### ***WESTERN UNIVERSITY OF HEALTH SCIENCES: SAMHSA PROVIDER'S CLINICAL SUPPORT SYSTEM***

The purpose of this program is to expand/enhance access to medication-assisted treatment (MAT) services for persons with an opioid use disorder (OUD) seeking or receiving MAT through ensuring the education and training of students in the medical, physician assistant and nurse practitioner fields. This Western University program's focus is to ensure students fulfill the training requirements needed to obtain a DATA waiver to prescribe MAT in office-based settings. BAI is contracted to serve as the independent evaluator and is responsible for tracking and assessing progress toward meeting the program's goals and objectives. October 2021- September 2026.

## MENTORING

### **MODEL MENTORSHIP PROGRAMS FOR STRENGTHENING ORGANIZATIONAL CAPACITY TO DELIVER HIV/AIDS SERVICES**

Funded by the Office of Women's Health, Behavioral Assessment, Inc. provided mentorship services for two Protégé organizations: 1) Inner Summit Inc., a non-profit organization, located in Decatur, GA, And 2) Vision Leadership Institute, a non-profit organization, located in Columbia, SC. The primary intent of this program was to improve capacity to deliver HIV/AIDS prevention services to minority women in both rural and urban communities. BAI conducted the Strength, Weakness, Opportunities & Threats (SWOT) Analysis to identify capacity building needs. BAI assisted both Protégés in successfully achieving their goals through technical assistance delivered through on-site trainings, weekly support and follow-up, and continuous assessment of needs and accomplishments. BAI conducted bi-weekly conference calls and regularly scheduled site visits. 2005-2006.

Funded by the Office of Women's Health. The primary intent of this Mentorship program was to improve community-based women's service organizations capacity to deliver HIV/AIDS prevention services to minority women in both rural and urban communities. Behavioral Assessment, Inc. provided mentorship services for two protégé organizations: 1) Chambers & Associates, a Minority-owned business, located in the rural community of Grand Chain, IL, and 2) Mujeres Unidas Contra el Sida, a Community-Based Organization, located in San Antonio, TX. BAI conducted the Strengths, Weaknesses, Opportunities and Threats (SWOT) Analysis at three data collection points (e.g. baseline, midyear and at program completion). The results of the SWOT analysis identified areas of capacity building that needed improvement including fiscal management, technology, and marketing. BAI assisted Protégés in successfully achieving their goals through technical assistance delivered through on-site trainings, weekly support and follow-up, and continuous assessment of needs and accomplishments. 2004-2005.

### **SAMHSA-CSAP-U.S. COUNTIES ALONG THE MEXICAN BORDER INITIATIVE (Border Initiative) TRAININGS**

The U.S. Counties along the Mexican Border initiative addressed substance abuse prevention within the (24) counties that are contiguous to the border. Goal of the contract was to provide T/TA related to the community planning model, **Strategic Prevention Framework (SPF)** within the 24 counties along the U.S. – Mexico border. Service delivery areas include counties in the states of California, Arizona, New Mexico and Texas. The training material emphasized the uniqueness of the U.S.-Mexico Border area while weaving the SPF science-based components. The ultimate goal of the Border Initiative project was to foster sustainability of substance abuse prevention programs along the border, in doing so the border initiative ensured they used training material that was current and culturally appropriate, and data and outcome driven. The training opportunities were in line with state and national certification boards so that participants could pursue certifications. Some examples of trainings the Border Initiative provided included: Border population profiles (Identification of resources and data sources and having a snapshot of your community needs) for counties and regions; Conducting data gathering and use of data in programs; Data Management; Community Mapping; Employee Education; Cultural Competency; Planning and Implementation of programs that have shown results with border populations (Latino & tribal communities); and the role of evaluation in your program. In addition, a series of mini training workshops were hosted for the purpose of providing additional information on the (8) SAMHSA Initiatives and how the Border Initiative was incorporating specific initiatives that impacted the U.S. –Mexico Border region. These mini-workshops highlighted Military families along the Border, Mental Health and Trauma among Children along the Border; Healthcare reform; and the effects of Immigration. The project's Executive Director (Dr. Cervantes) presented a training workshop on Mental Health and Trauma among Children along the Border. (2010-2015).



## **TRAININGS ON EVALUATION**

### **UNIVERSITY OF SOUTHERN CALIFORNIA, KECK SCHOOL OF MEDICINE—FOCUS GROUPS**

BAI along with USC Keck School of Medicine designed and managed a tobacco free Multi-Unit Housing (MUH) survey for data collection from residents that reside in 5 unincorporated areas of Los Angeles County. The purpose was to get feedback on adopting smoke free MUH policy in these unincorporated areas. Using zip code data to identify areas to survey, BAI collected a final sample of approximately (n=1067). Postcard survey mailers were designed to solicit participation in the online survey along with telephone surveys, hard copy mail-out surveys, and surveys left on the doorknobs of tenants with surveys available via QR codes. Participant incentives were provided. Surveys were conducted in both English and Spanish languages. Jan - Dec 2021.

### **CALIFORNIA TOBACCO CONTROL PROGRAMS (CTCP): AFRICAN AMERICAN PUBLIC HEALTH COALITION, LOS ANGELES & THE NILE SISTERS DEVELOPMENT INITIATIVE, SAN DIEGO- DATA COLLECTION TRAINING**

Funded by the California Department of Public Health, California Tobacco Control Program, through the Proposition 56 California Healthcare, Research, and Prevention Tobacco Act of 2016. BAI served as the evaluator for this program and has provided data collection trainings for two CTCP Tobacco Free Initiatives “Families Uniting Locally to Solve Tobacco Proliferation (FULSToP) Initiative” and the “Keeping Our Lungs Safe (KOLS) Initiative.” Each of these programs contains up to (23) data collection tools and surveys. BAI has provided data collection training for evaluation activities including observational data collection, Public Opinion Poll surveys, Educational Pre/Post Surveys, Key Informant Interviewing, and Conducting Focus Groups to mention a few. BAI developed or modified survey tools and instruments for Each evaluation activity and developed a “tracking” tool and other data management tools. BAI has also modified many of the survey tools to be administered digitally or online in addition to pen and paper. The State of California banned flavored tobacco products in Jan 2024. (2018-2023).

### **NATIONAL LATINO BEHAVIORAL HEALTH ASSOCIATION (NLBHA)- SAMHSA STRATEGIC PREVENTION FRAMEWORK FAMILIA ADELANTE MULTI-RISK REDUCTION IN BEHAVIORAL HEALTH FOR LATINO/HISPANIC YOUTH AND FAMILIES**

BAI is contracted by the National Hispanic/Latino Behavioral Health Association (NLBHA) SAMHSA funded Strategic Prevention Framework (SPF-PFS) grant to provide on-going training and technical assistance to Program Staff on the following (SPF model) topics: 1) Community Needs Assessment; 2) Basic Approaches to Evaluation Planning; 2) Methodologies for pre, post and follow up assessment; 3) Measurement tools, assessment inventories; 5) GPRa Data Collection; 6) Participant data collection tracking; and 7) Quarterly Reporting as required by SAMHSA CSAP SPF-PFS. The purpose of this grant program is to prevent the onset and reduce the progression of substance abuse while strengthening prevention capacity and infrastructure at the community level. The program is intended to address underage drinking among persons aged 9 to 20. Training Dates: May 2020 (TBA). Albuquerque, NM Trainer: Dr. Richard Cervantes. October 2019-September 2024.

### **CULTURA Y BIENESTAR PROGRAM EVALUATION**

The Cultura y Bienestar (Culture and Wellness) Program is a project of La Clínica de La Raza, in collaboration with La Familia Counseling Services and Tiburcio Vázquez Health Center. This CRDP supported program is a Latino-focused mental health prevention and early intervention program that provides outreach, education, and consultation to the Latino community and those who serve Latinos in Alameda County. The goal of this program is to promote mental health and emotional well-being through education, consultation, and the practice of traditional healing methods. More specifically, the program aims to reach the following goals: 1) successfully engage unserved & underserved Latinx, 2) improve Latino’s knowledge about mental health issues and decrease mental health stigma, 3) decrease acculturation stress & early mental health symptoms, and 4) increase mental health service use. The desired outcomes are to decrease mental health problems & reduce disparities in mental health care among low-income Latinos in Alameda County.

### ***CULTURA Y BIENESTAR PROGRAM –FOCUS GROUPS***

The Cultura y Bienestar (Culture and Wellness) Program is a project of La Clínica de La Raza in collaboration with La Familia Counseling Service and Tiburcio Vázquez Health Center. The goal of this program is to promote mental health and emotional well-being through education, consultation, and the practice of traditional healing methods. The Cultura y Bienestar (CyB) program evaluation was conducted by Behavioral Assessment, Inc. (BAI) to learn more about how the services provided by the Cultura y Bienestar program helped Latinos in Oakland. Four focus groups were conducted from the following locations: 1) La Clínica, 2) La Familia East Bay, 3) Tiburcio Vasquez, and 4) La Familia Hayward. Questions about services, mental health and culture were asked and answers were transcribed from each focus group. To learn more about how La Clínica services help Latinos in Oakland, focus group interviews were conducted with a random selection of clients that participated in at least one of the programs. The interview length for each focus group was one to one and a half hours long. Eight questions and opinions about mental health and culture were asked. Interviews were recorded (after consent was given), and all of the responses are kept confidential. Participants received a gift card at the end of the interview. 2020

## ***TRAININGS ON ASSESSMENT & DIAGNOSIS***

### ***HISPANIC STRESS INVENTORY VERSION 2 (HSI2)***

Behavioral Assessment provides training for the administration of The Hispanic Stress Inventory - Adolescent and the Hispanic Stress Inventory -Version 2 (Cervantes et al., 1991). The HSI is a 59 item (United States-Born Version) and 73 item (Immigrant Version) measure of psychosocial stress among people of Latin-American origin. It consists of 4 subscales (US-born version) and 5 subscales (immigrant version): Occupation/Economic Stress, Parental Stress, Marital Stress, Immigration Stress (Immigrant version only), and Family Stress; using a 5-point Likert scale (1= not at all stressful, 5 = extremely stressful).

### ***HSI2 TRAINING: SALUD/HEALTH, ESTRES/STRESS, & RESILIENCIA/RESILIENCE AMONG HISPANICS (SER HISPANO)***

BAI provided ongoing training and supervision to data collection assessors on the Hispanic Stress Inventory Version 2 (HSI2) and other acculturation related measures. In addition, BAI is providing initial and ongoing training and supervision to data collection assessors on the Hispanic Family Resilience Measure (HFRM). BAI is coordinating with the program biostatistician on the scoring and interpretation of all HSI2 and HFRM data analysis, including calculation of sample reliability estimates and item response analysis; determine necessary adjustments to scaling and scoring of measures. This research study is funded by the National Institute on Minority Health and Health Disparities (R01MD012249). PI Rosa Gonzalez-Guarda and Co-PI Dr. Cervantes is studying the effects of acculturation stress and resilience on the health and wellbeing of Latino immigrants in the research triangle area. 2018-2023.

## ***TECHNICAL ASSISTANCE***

### ***PROJECT HEAL (HELP TO EAT, ACCEPT, AND LIVE) DATA ANALYSIS***

Behavioral Assessment, Inc. is performing data analysis for the L.A. Women's Group who are participating in Project HEAL (Help to Eat, Accept and Live), a nonprofit organization in the U.S. focused on equitable treatment access for eating disorders. 2022.

### ***ALTER MANAGEMENT LLC – CAPACITY BUILDING ON EVIDENCE-BASED PROGRAMS***

Behavioral Assessment, Inc. has been contracted to provide training and technical assistance by Alter Management on building organizational capacity to identify and utilize evidence-based programs and practices. Alter Management is a manager and operator of primary mental health care and substance use disorder treatment facilities. Alter Management also organizes and produces mental health and addiction recovery wellness events for individuals and their loved ones in order to learn, grow and strengthen their overall wellness. BAI will utilize their IV Phase Training and Technical Assistance Model that includes I)

Assessment, II) Training, III) Implementation, and IV) Evaluation. BAI services include a range of training and technical assistance activities that will build the capacity to develop strategies and plans for implementing Evidence Based Practices that will demonstrate positive client outcomes related to reduction of substance use disorders, co-occurring disorders and related problems for clients receiving services at Alter Management, LLC. (2020-2021).

---

#### ***SAMHSA-CSAP 2019 SAMHSA NATIONAL HISPANIC AND LATINO ADDICTION/PREVENTION TECHNOLOGY TRANSFER CENTER'S NATIONAL NEEDS ASSESSMENT QUERY***

---

Behavioral Assessment Inc. (BAI) was contracted to design and implement process and outcome evaluation activities for the SAMHSA funded National Latino Behavioral Health Association's National Hispanic and Latino **Addiction** Technology Transfer Center and the National Hispanic and Latino **Prevention** Technology Transfer Center. As part of the contract, BAI designed and developed a National Training Needs Assessment Query and conducted the implementation, analysis, and summary of the Query project.

**Purpose of the Training Needs Query**-The purpose of National Needs Assessment Query was to aid in determining the Addiction/ Prevention workforce needs for serving Hispanics and Latinos, and to demonstrate National Addiction/ Prevention priorities for serving the Hispanic and Latino population from the perspective of high need sub populations, as well as Training and Technical Assistance needs.

**Training Needs Query Development** -The Training Needs Assessment Query was developed through analysis of available literature and data obtained from group meetings, interviews, and reviews. A pilot questionnaire was administered to a variety of professionals and staff, whose responses aided in the refinement of the final version of the instrument. The final instrument has two sections. The first section includes ten (10) multiple choice (checkbox) demographic questions. Gender, Age, Region, and Area of Expertise has been included to collect demographic details of each respondent. The second section has five separate training needs categories. Categories include: 1) Hispanic/Latino Special Populations; 2) Addiction Treatment Training Topics; 3); Implementation Training Topics; 4) Prevention Training Topics; and 5) Other Capacity Building Training Topics. The query is available in both English and Spanish language and can be administered both online and hard copy (paper).

---

#### ***HIV/AIDS PREVENTION & INTERVENTION SERVICES FOR FEMALE YOUTH AT RISK FOR JUVENILE DELINQUENCY***

---

In this Office on Women's Health, Office of Public Health and Sciences, Young Women's Health Project, BAI implemented a quality program design for the prevention of violence and substance abuse for young Latinas who are at-risk for delinquency and high-risk behavior, including unprotected sexual activity. BAI coordinated and worked with three partner community-based organizations: Orange County Bar Foundation (OCBF), San Fernando Valley Partnership (SFVP), and Sunrise Community Counseling Center (SCCC) to articulate program goals and implementation strategies. During the planning phase, BAI established an Advisory Group to oversee the curriculum, program materials, and staff training manuals. BAI supervised all program activities including recruitment. At-risk Latina youth who exhibit behavioral problems such as truancy, substance abuse, and/or incidents of violence were recruited from local schools by school counselors, teachers, and principals. An intensive 10-week program was offered that addressed risk factors across 5 domains including: Individual, Family, Community, School, and Peer factors. Program activities surrounded life skills, mentoring, and health education on HIV/AIDS, pregnancy, and substance abuse. Youth participants had opportunities to engage in leadership and recreational activities designed to build their confidence and self-esteem. 2007-2009.

---

#### ***MODEL MENTORSHIP PROGRAM FOR STRENGTHENING ORGANIZATIONAL CAPACITY TO DELIVER HIV/AIDS SERVICES***

---

Funded by the Office of Women's Health, Behavioral Assessment, Inc. (BAI) provided mentorship services for two Protégé organizations: 1) *Inner Summit Inc., a non-profit organization, located in Decatur, Georgia,* And 2) *Vision Leadership Institute, a non-profit organization, located in Columbia, South Carolina.* The primary intent of this program was to improve capacity to deliver HIV/AIDS prevention services to minority women in both rural and urban communities. BAI conducted the Strength, Weakness, Opportunities & Threats (SWOT) assessment of each Protégé's needs in the areas of administration (fiscal management), technology, and

marketing. BAI and both Protégés determined that these areas of need would strengthen capacity for both Protégés before they begin direct services with their clients. BAI assisted both Protégés in successfully achieving their goals through Technical Assistance, on-site training, weekly support and follow-up, and continuous assessment of needs and accomplishments. In addition, BAI conducted regular bi-weekly conference calls and regularly scheduled site visits. 2005-2006.

---

## **POLICY AND OTHER TOPICS**

---

### **LONG BEACH COMMUNITY FOUNDATION ATLANTIC AVENUE CONNECTED CORRIDOR PROJECT**

The Atlantic Avenue Connected Corridor Project (AACC) was implemented by the Long Beach Community Foundation from October 2007 to February 2011, with funding from the John S. and James L. Knight Foundation (The Knight Foundation). The Atlantic Avenue Corridor was selected because it bisects the city from north to south and is reflective of the diversity of the City of Long Beach. The goals of the project were:

- To develop and connect leaders committed to transforming the infrastructure, quality of life and businesses along the Atlantic Corridor.
- To ensure the various projects work more effectively together.
- To positively impact neighborhoods, embracing ethnic diversity and economic differences.

The project was implemented in four “Phases” which targeted specific geographic areas along Atlantic Boulevard. A total of 41 individual projects were funded by the Long Beach Community Foundation. Phase 1 in North Long Beach was launched in Fall 2007 and the final Phase (4) began in October 2010. Program strategies included: convening of community stakeholders at the beginning of each phase; funding of mini grants to individuals and organizations; and training for grantees. A comprehensive evaluation of the AACC was conducted by Behavioral Assessment, Inc., and included the use of document reviews, focus groups, key stakeholder interviews and survey-based data collection. The evaluation focused on project outcomes that were important to the Long Beach Community Foundation. The evaluation results are generally positive and show significant AACC outcomes. Results showed that a range of connected community projects were successfully implemented, ranging from youth leadership activities, arts initiatives, business networking, community gardening, journalism, and training programs. 2010-2011.

### **NEW MEXICO ADMINISTRATIVE OFFICE OF THE COURTS -JUSTICE SYSTEM INTERPRETER RESOURCE PARTNERSHIP**

The overall programmatic objective of the New Mexico Justice System Interpreter Resource Partnership (JSIRP) is to ensure that individuals with limited English proficiency who become involved with the New Mexico justice system have access to consistent, culturally and linguistically appropriate services through the creation of a sustainable, comprehensive interpreter resource partnership that may be expanded to serve a broader range of public recipients. BAI was contracted by the Administrative Office of the Courts (AOC) to conduct an evaluation of the formative aspects of the New Mexico JSIRP. Efforts exercised in this process require the following: Tracking of recruitment and training of partnership member agencies, track and document partner’s involvement and participation, track and document partnership consensus building activities and planning process, and track development of action plans related to partnership objectives. 2009-2010.

### **LATINA YOUTH DEVELOPMENT PROJECT**

Funded by the Esperanza Del Pueblo Community Services, this project focused on the unique issues faced by Latino youth who were at most risk of engaging in problem behaviors such as those with a parent who is incarcerated, children of substance abusers and youth who had recently immigrated. Family participants received support and consensus from community members and key stakeholders in Central Oklahoma City. This project demonstrates BAI’s instrument development and protocol. All the instruments used in this project were designed and formatted by BAI. Oct. 2001-Sept. 2002.

### **Program Overview**

#### **FAMILIA ADELANTE IS AVAILABLE VIRTUALLY and IN PERSON**

Familia Adelante is an evidence-based program and can be applied in a "universal" prevention setting or in a more targeted "selective" prevention approach for youth at risk. Through the use of a psychoeducational approach, at-risk youth ages 10-14 and their families are provided with new techniques for identifying stressors and risk factors, ultimately strengthening ties to important social institutions. Youth and parents are also offered HIV and sex education addressing the increasing rates of HIV among Hispanic families.

#### **Familia Adelante Aims to:**

- Reduce overall levels of family stress
- Reduce youth behavior problems
- Reduce the effects of acculturation stress, including discrimination stress
- Enhance psychosocial coping and life skills in both children and adults
- Enhance academic achievement
- Decrease the risk for later substance abuse and emotional problems in Hispanic children.
- Reduce the rate of HIV among Hispanics through sex and HIV education

#### **Familia Adelante Format:**

The curriculum is 12 sessions in length, educates high-risk Latino youth, ages 10-14 and their parents or caregiver in:

- family and peer communication,
- substance abuse prevention
- HIV knowledge
- perceptions of harm about high-risk behavior
- positive school bonding
- behavior

This is not a treatment program, but rather a family development model for youth who have early signs of either behavioral or emotional problems. The Familia Adelante intervention is administered to youth and parents concurrently but separately in a group format.

The Familia Adelante (FA) training is a multi-risk prevention program that addresses the impact of acculturative stress on Latino communities and equips Latino-serving organizations with a psychoeducational curriculum that helps Latino families prevent and manage negative behavioral outcomes associated with stress exposure. After the (onsite training) *Learn* stage, all FA teams will receive ongoing coaching to help support uptake of the practice. Team members will: 1) Participate in four 1- hour group coaching sessions with the trainer 2) Participate regularly in the online discussion forum, and 3) Complete implementation reporting assignments. Trainer: Dr. Richard Cervantes, Ph.D., Director, Behavioral Assessment, Inc.

**BAI can train any organization to implement Familia Adelante (FA) in their local community. Professional or para-professional staff can become certified in FA.**

## BAI STAFF & CONSULTANTS

BAI's staff consists of professional individuals with advanced experience in working with culturally sensitive data. The staff of BAI consists of PhD's and other trained professionals with numerous years of experience in counseling, project management, and evaluation.

| EXPERIENCE AND CAPABILITIES MATRIX |         |             |                    |               |                         |                        |            |               |                |                |           |
|------------------------------------|---------|-------------|--------------------|---------------|-------------------------|------------------------|------------|---------------|----------------|----------------|-----------|
| STAFF & CONSULTANTS                | Degree  | Lit. Review | Program Evaluation | High Risk PoP | Interview Protocol Dev. | Data Collection & Mgmt | Site Visit | Data Analysis | Multi-Cultural | Report Writing | Bilingual |
| R. Cervantes                       | Ph.D    | X           | X                  | X             | X                       | X                      | X          | X             | X              | X              | X         |
| C. Cervantes                       | DPT     | X           | X                  | X             | X                       | X                      | X          | X             | X              |                | X         |
| D. Camacaro                        | AA      | X           | X                  | X             | X                       | X                      | X          | X             | X              |                | X         |
| M. Cristo                          | Ph.D    | X           | X                  | X             | X                       | X                      | X          | X             | X              | X              | X         |
| C. Keig                            | MA      | X           | X                  | X             | X                       | X                      | X          |               | X              | X              | X         |
| J. Kumamoto                        | MA      | X           | X                  | X             | X                       | X                      | X          |               | X              | X              | X         |
| A. Kumamoto                        | MA      | X           | X                  | X             | X                       | X                      | X          |               | X              | X              | X         |
| A. Reyes                           | BA      |             |                    | X             | X                       | X                      |            | X             | X              |                | X         |
| D. Salinas                         | M.P. H. | X           | X                  | X             | X                       | X                      | X          | X             | X              | X              | X         |
| D. Santisteban                     | Ph.D    | X           | X                  | X             | X                       | X                      | X          | X             | X              | X              | X         |







***RICHARD CERVANTES, Ph.D.***

Dr. Cervantes received his Ph.D. in Psychology from Oklahoma State University. Dr. Cervantes is Research Director at Behavioral Assessment, Inc. and is Senior Research fellow at the California State University, Long Beach Center for Behavioral Research and Services Department of Psychology. He served as Research Psychologist at the UCLA Spanish Speaking Mental Health Research Center (1984-89) and held a full-time faculty appointment in the USC School of Medicine, Department of Psychiatry and the Behavioral Sciences (1990-1995).

He has served as Principal Investigator on numerous NIH and Foundation funded studies and has published extensively over a 20-year career span.

Dr. Cervantes has served or currently serves on a number of national level advisory groups and committees, including CSAP's Technical Expert Group for the National Cross-Site Evaluation of Substance Abuse Prevention Grants for Minority AIDS Initiative, SAMHSA's State Incentive Grant Evaluation Guidance Committee, and numerous other expert panels. Dr. Cervantes served as a science consultant for the United Nations International Drug Control Program (UNDCP).

He has published well over two dozen scientific journal articles as well over a dozen book chapters relevant to Hispanic/Latino youth, family, mental health, and health issues. He is the developer of the Hispanic Stress Inventory and Familia Adelante, a family focused behavioral health promotion program. Dr. Cervantes has over 20 years of experience in the conduct of community-based research and evaluation and is familiar with necessary multi-dimensional evaluation methodologies needed in community and applied settings.

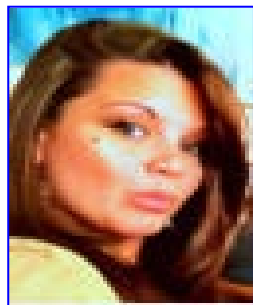
Finally, Dr. Cervantes has served as an expert witness to the courts on issues related to Hispanic families, drug and alcohol abuse, cultural risk factors including immigration/acclimation stress, and juvenile gangs. He has served as an expert on over twenty death penalty cases, both in the trial/penalty phase, as well as in the appeals process.

## BAI PROFESSIONAL STAFF



***CAMACARO, DANIBEL, AA***

Ms. Camacaro is a member of BAI's research, data management team and manages projects for BAI. She provides assistance to lead evaluator through providing logistics for meetings and research for reports. Ms. Camacaro maintains Familia Adelante program training schedules, manages invoicing for specific projects, assists in project management, and trains incoming employees and clients in data entry, data collection, and data analysis. She is bi-lingual in English and Spanish and has a keen interest in the physical and emotional growth of child development.



***CERVANTES, CLARISSA, DPT***

Clarissa Cervantes obtained her Doctoral of Physical Therapy in 2000. Mrs. Cervantes has extensive experience in health care and mental health research as well as data entry, data management and statistical analyses. Clarissa works closely with the data manager and lead evaluator as a resource person for technical assistance, research, and reporting; she also assists with the implementation of formal evaluations and data analysis. Mrs. Cervantes is responsible for identifying suitable software for conducting statistical analysis; assists with financial reports, accounting, and CFO duties as necessary as well as monitoring project performances. Clarissa recently

served on projects such as the Targeted Capacity Expansion (TCE) - HIV, Project Corazon, Bienvenidos Children's & Family Center Research Evaluation, Spiritt Family Services Technical Assistance, Project Cabezon, Project Safe, Project Heal, Youth Adelante, Stop Short of Addiction, The Southwestern Regional Behavioral Health Conference Evaluation, Pima Community College AP3 SAMHSA Project, USC Spanish Language Survey for Cervical Cancer Prevention Study, CSAT Project, Hispanic Stress Inventory (HSI-A & HSI-2), Mental Health Comes To Hollywood, Pasadena Public Health Department Study and National Hispanic Latino ATTC & PTTC. Clarissa works as marketing specialist for Familia Adelante, a program developed specifically to address cultural barriers and stress related to family and youth development. Mrs. Cervantes is fluent Portuguese-English-Spanish languages.



***KEIG, CINDY, MS***

Cindy serves as a Sr. Research Associate II, proposal development specialist and Co-evaluator for BAI. She assists with grant writing, proposal development, and grant submissions, research and reporting, training and technical assistance, and survey development. As part of the BAI evaluation team, Cindy assists with the development of project evaluation plans, data collection activities, development of data tools, training materials and all forms of reporting. She has assisted with large multi-site evaluations, including substance abuse and prevention, working with youth, and workforce development projects funded by NIH, HRSA and SAMHSA, among others. She recently served as part of the evaluation team for the SAMHSA funded National Hispanic & Latino Prevention and Addiction

Technology Transfer Centers that served to improve the delivery of substance abuse prevention and addiction interventions for Hispanics and Latinos, and to provide training and technical assistance to the Hispanic/Latino serving workforce. She most recently served two California Tobacco Control Program evaluations, that worked to promote smoke free policy among Immigrant African American faith-based organizations, and promoting smoke free multi-unit housing, and flavored tobacco ban among retailers in Los Angeles, San Diego, and Orange counties. Cindy has an associate degree in technical writing from Oklahoma State University, a bachelor's degree in liberal sciences from University of Oklahoma (2003), and a master's degree in teaching, Leadership and Learning /Adult Education and Vocation from Oklahoma State University (2005).





**REYES, ADRIAN, BA**

Adrian Reyes is the founder and president of Adrian Information Strategies, a full-service market research and data processing company in Dallas, Texas. With over thirty years experience in all facets of market research and data processing, he has established and managed local, state, and national accounts, and has conducted hundreds of qualitative and quantitative studies. Mr. Reyes is fully bilingual in English and Spanish. Mr. Reyes attended City College of New York and the Computer Programming Institute of New York. He was instrumental in the development of interactive computer programs for survey research tabulation.

Currently, Mr. Reyes serves as data manager to BAI and has been responsible for data collection, database management, Web site development and maintenance and online/distance training. He has also been instrumental in questionnaire design, providing both web-based online and offline survey data entry of evaluation instruments.

---

## BAI'S PROFESSIONAL CONSULTANTS

---



**CRISTO, MARTHA, PH.D.**

Dr. Cristo currently serves as BAI's IRB institutional chairperson. She specializes in Clinical Psychology, applying a cognitive behavioral approach to resolving psychological problems and integrates individual, family, social, environmental, and cultural factors. She earned a Ph.D. from California School of Professional Psychology, California State University Los Angeles (1987).



**KUMAMOTO, ALAN**

Alan Kumamoto is a founding partner of Kumamoto Associates, a marketing, management, and communications general partnership located in the Greater Los Angeles area. Alan conducts leadership workshops and capacity building seminars for national and international clients. His career spans work in business, government, and nonprofit organizations has been in charge of a human relations training for the Los Angeles County Human Relations Commission and served as a senior trainer of program planning and proposal writing for The Grantsmanship Center. Alan served as President and Executive Director for the Center for Nonprofit Management, responsible for training and consultation to a variety of nonprofit corporations and he is a certified trainer for the United States Department of Justice Weed and Seed Program. He serves as a training and technical assistant consultant to the City of Los Angeles Community Development Department to build capacity among nonprofit and faith-based agencies. Alan is the KA lead consultant to Leadership Education for Asian Pacifics providing training and technical assistance along a life cycle continuum from growth to maturity or from decline back to growth. Alan most recently developed curriculum for the Los Angeles Department of Water and Power Executive Leadership Development Program. He has been a change management consultant to agencies for over 30 years.

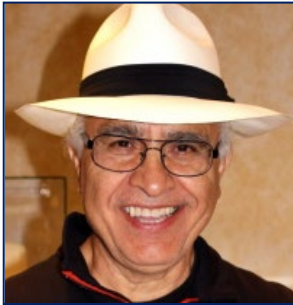


**KUMAMOTO, JOANNE**

Also, a founding partner of Kumamoto Associates, a marketing, management, and communications general partnership located in Greater Los Angeles area, provides technical assistance to non-profit organizations on program development and evaluation, project management, and organizational development and training. She specializes in market research, needs assessments, and feasibility studies. Ms. Kumamoto was the project manager for a Transportation Communications Needs

Assessment Study, to understand communication channels and information needs for non-English speaking Asian communities. She was the principal evaluation investigator for an entertainment job training program. Prior to forming Kumamoto Associates, Ms. Kumamoto was an appointee in the Los Angeles City Mayor's Office, Division of Human Resources, where she was responsible for staffing city department task forces and community advisory committees, and establishing a low interest loan, volunteer, and energy conservation projects. After leaving the Mayor's Office Ms. Kumamoto also consulted as a sole proprietor with business organizations, major arts institutions, and engineering firms. Ms. Kumamoto is a former Commissioner for the City of Los Angeles Housing Authority and Telecommunications Board.

---



**AMADO PADILLA, Ph.D.**

Dr Padilla is currently a Professor of Psychological Studies in Education at Stanford University. His interests include academic resilience and positive school experiences of students from at-risk backgrounds; psychological acculturation and adjustment of immigrants, especially children and adolescents; and simultaneous and successive forms of bilingual development. He publishes widely in his areas of research expertise. He is also interested in quantitative research methods in multicultural contexts. He serves as the principal investigator of the California Foreign Language Project (CFLP), a program that assists California teachers in foreign language instruction by offering year-round professional development programs, including intensive institutes in the summer and follow-up sessions during the school year. Dr. Padilla received his B.A., (Psychology) New Mexico Highlands University; M.S. (Experimental Psychology) Oklahoma State University; Ph.D. (Experimental Psychology) University of New Mexico.

---



**DAVID SALINAS, M.P.H.**

Mr. David Salinas has over 12 years of clinical and social service research experience combined. As a research associate, Mr. Salinas has been the clinical coordinator/data manager for privately and federally funded clinical trials ranging from traumatic orthopedic research to studies focusing on co-occurring substance use and mental health disorders in adolescents. Mr. Salinas is also experienced in implementing health services research like needs assessments for non-profit entities, city, and county departments, and for state agencies. Major assessment areas include evaluation of health services gaps in HIV prevention, treatment, and care; adolescent sexual health education in schools; and mental health and substance abuse needs among adolescents. Mr. Salinas speaks both Spanish and English. Salinas has both a Bachelor of Arts in Biology and in Spanish Literature from the University of Colorado at Boulder and a Master of Public Health.

---



**DANIEL SANTISTEBAN, Ph.D.**

Daniel Santisteban is Professor Emeritus, School of Education and Human Development, University of Miami. He earned his Ph.D. in Clinical Psychology from the University of Miami in 1991 and completed his internship at Bellevue Hospital/NYU. He is a Clinical Psychologist with over 25 years of experience conducting clinical trials, developing, and testing behavioral treatments for under-served families and communities. He is currently the Director of Research and Implementation for Training and Implementation Associates (TIA). He developed the Culturally Informed and Flexible Family Based Treatment for Adolescents (CIFFTA) – an evidence based adaptive adolescent and family behavioral treatment. Dr. Santisteban is the recipient of the American Psychological Association Division 45 Distinguished Career Contributions to Research Award, and the National Hispanic Science Network Award for Excellence in Research to name a few.

---



**LUCY NAPPER, Ph.D.**

Dr. Napper earned her Ph.D. in Psychology from the University of Sheffield (United Kingdom) in 2005. She is currently an Associate Professor at Lehigh University, Psychology Department. Her research focuses on responses to health-risk information, attitude and behavior change, and the assessment of health-related cognition and behaviors. Her quantitative interests focus on psychometrics, including the development and evaluation of measures related to substance use, mental health, and sexual risk. She is currently working on projects examining the assessment of hooking up outcomes, communication about substance use, and acculturation stress. Dr. Napper is a member of the Lehigh Community Health Research Group. This group investigates health outcomes using a Community Based Participatory Research (CBPR) approach. CBPR is a collaborative approach to research that emphasizes working with the community to address health needs and disparities.



**DENNIS FISHER, Ph.D.**

Dr. Dennis Fisher, Professor Emeritus August 2014 – Present, became the Director of the Center for Research and Behavioral Services (CBRS) at California State university, Long Beach, in August 2000. Prior to that, he was a Professor of Psychology at the University of Alaska Anchorage. Dr. Fisher has collaborated with PI (Cervantes) completing NIH research on the development of the Hispanic Stress Inventory – Adolescent Version, the Re-standardization of the Hispanic Stress Inventory for Adults, and several smaller contracts and SAMHSA grants. Dr. Fisher received his B.S. from the University of California, Riverside, an M.S. in Counseling Psychology from the University of Alaska Anchorage, his Ph.D. from the University of Illinois, Urbana-Champaign, and completed post-doctoral studies at the University of California, Los Angeles.



**JOSEPH GRZYWACZ, Ph.D.**

**DR. GRZYWACZ** is Associate Dean for Research and Faculty at San Jose State University. Previously he served as Associate Dean for Research and Graduate Studies and Department Chair at Florida State University, Director of the Center for Family Resilience at Oklahoma State University, and Associate Director for Research with the Center for Worker Health at Wake Forest School of Medicine. Dr. Grzywacz is well-equipped to mentor faculty and students in research. His research has been continuously funded by federal agencies like the National Institutes of Health since 2002, and he has published over 250 peer-reviewed articles in diverse clinical, epidemiological, and basic science journals. He has supported dozens of students and professional staff in publishing their first article in a scientific journal, supported nearly 20 researchers in earning their first federally funded grant, and two programs to facilitate interdisciplinary research training and development for new and experienced researchers. Dr. Grzywacz earned his M.S. in Human and Community Resources from the University of Wisconsin-Stevens Point, his Ph.D. in Child and Family Studies from the University of Wisconsin, and Postdoctoral training in the Social Ecology of Health at the University of California, Irvine.



**RICARDO LOPEZ, MSW**

Ricardo Lopez is an experienced Social Worker with a demonstrated history of working in the non-profit organization management industry. He is highly skilled in data collection, community organizing, community engagement, training, and youth development. He earned his Master of Social Work (MSW) focused on Social Work from California State University-Long Beach. Lopez is currently employed with the Los Angeles Unified School District. He is the program director of the Open Waters Foundation, dedicated to connecting fathers, father-figures, and their child(ren) through the use of deep-sea fishing excursions. He is also the founder of the Tree of Wellness, a non-profit entity based in Greater Los Angeles that provides consulting and capacity building training.



---

**STEPHANIE MESONES ALVARADO, MPA.**

As an experienced trainer and capacity builder on violence prevention, community organizing, and domestic and sexual violence. Stephanie has experience mobilizing and training Latinas and social service providers across the country, empowering and motivating women and men to take a stance against violence. Stephanie is passionate about helping organizations understand their stakeholder's needs and providing culturally responsive programming and services especially in traditionally under-served communities. Stephanie has

extensive experience in grant writing, event management, public relations and communications, capacity building and implementation, technical assistance and training, translation and transcription, strategy formation and planning. She specializes in violence against women issues and family services, but skills transfer to a wide range of issues.

---

**IN SUMMARY**

---

By discussing Behavioral Assessment, Inc.'s combined capability, we have presented our team's knowledge and experience in assessment, program evaluation, our ability to conduct literature reviews, develop surveys, execute data management and analysis skills, and use culturally sensitive approaches when conducting site visits – all of which is critical to the success of any program. We look forward to continuing discussions with your organization in order to establish a beneficial working relationship and overall, to collectively build the research, training, and evaluation capacity of communities and societies as a whole.



BEHAVIORAL  
ASSESSMENT  
INC.